PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Readiness and Cost Reporting Program (RCRP)

2. DOD COMPONENT NAME: United States Fleet Forces Command

3. PIA APPROVAL DATE: 03/10/21

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

☐ From members of the general public

X From Federal employees and/or Federal contractors

☐ From both members of the general public and Federal employees and/or Federal contractors

☐ Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one)

☐ New DoD Information System

☐ New Electronic Collection

X Existing DoD Information System

☐ Existing Electronic Collection

☐ Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

RCRP is a readiness reporting system based on Mission Essential Tasks (METs) which provides a standardized, enterprise-wide capability for the Navy Expeditionary Combat Command (NECC) and the Navy Bureau of Medicine and Surgery (BUMED) Command operating forces to measure, display and report the readiness status of resources and meets Defense Readiness Reporting System (DRRS) requirements. RCRP provides Commanders at appropriate levels with the ability to visualize the readiness of their units and subordinate units on the Chain of Command construct and associated permissions/roles in response to mission needs.

RCRP provides a full range of capabilities to satisfy the readiness and logistics business needs of the operating forces. The RCRP initiative creates a near real time, capability based readiness reporting system based on METs. RCRP provides forces a standardized, enterprise-wide capability to: measure, display and report the readiness status of forces' PESTO (Personnel, Equipment, Supply, Training, Ordnance) resources to the Navy Readiness Analysis Suite (NRAS); support adaptive force packaging by granulating forces' capabilities and supporting resources to the level required to provide the necessary visibility and supporting readiness picture; stimulate cost-wise behavior and shape future investment by providing a capability for future resource planning based on resource priority in the context of capabilities; and provide metrics-based planning support to improve the balance of readiness and cost by tying readiness to cost.

Currently RCRP has automated data transactions with the Total Force Manpower Management System (TFMMS), Fleet Training Management and Planning System (FLTPMS), and Advanced Skills Management (ASM). RCRP data loads are primarily limited to manual data import/load techniques Navy Enlisted System (NES), Officer Personnel Information Management System (OPINS), Navy Reserve Readiness Module (NRRM), Navy-Marine Corps Mobilization Processing Module (NMCPPS), Department of the Navy Civilian Authority Data Source (DONCADS), and Expeditionary Medicine Platform Augmentation Readiness Training System (EMPARTS). RCRP operates on the NIPRNet and SIPRNet and is hosted at the Defense Information Systems Agency, Defense Enterprise Computing Center (DISA DECC), Mechanicsburg, PA.

PII collected and maintained in RCRP includes: First Name, Middle Initial, Last Name, Last 4 SSN, Date of Birth (DOB), and Education. Personnel Information: Enlisted/Officer/Civilian/Reservist (profile type), Permanent Duty Station, Current Assignment (short name), Mobilized Current Assignment, Unit Identification Code (UIC), Rating, Grade, Payband, Active Duty Start Date (ADSD), Projected Rotation Date (PRD), End Active Obligated Service (EAOS), Estimated Date of Loss (EDL), Navy Enlisted Code (NEC), Distributed Navy Enlisted Code (DNEC), Navy Officer Billet Classification (NOBC), Designator, Sub Specialty, Rank, Additional Qualification Designation (AQD), Billet Information, Manpower Resource Code (MRC), Duty Status, Series, Electronic Data Interchange Personal Identifier (EDIP) Number, Manpower Availability Status (MAS) Codes, and Gender.

Personal Gear Issued: Description, National Stock Number (NSN), Allowance, Size
Medical, Disability and Individual Readiness (data entered by users in the Individual Readiness Module): Available for Tasking, Deployability (ITEMPO), Individual Augmentee (IA), Temporary Additional Duty (TAD), Medical Readiness, Accounting Category Code (ACC), Physical Readiness Test (PRT)

Additionally, the system maintains RCRP user account and authorization information (user identifier, user role/privileges and security questions).

d. Why is the PII collected and/or what is the intended use of the PII?  
   (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

   PII collected to match records with multiple authoritative data sources. For personnel requesting user accounts access, PII is required for identification, verification, and system authentication to determine need-to-know and establish authorized privileges. PII collected for mission related purposes is used to determine and verify unit and individual readiness, to fulfill operational mission requirements, and to match records with authoritative data sources.

   X Yes  No

   (1) If “Yes,” describe the method by which individuals can object to the collection of PII.

   (2) If “No,” state the reason why individuals cannot object to the collection of PII.

PII collected for mission related use is provided through interfaces with other authoritative systems or entered directly from the individual. The opportunity for objection to use is managed at original entry, where participants can decline to enter their information.

e. Do individuals have the opportunity to object to the collection of their PII?

   X Yes  No

   (1) If “Yes,” describe the method by which individuals can give or withhold their consent.

   (2) If “No,” state the reason why individuals cannot give or withhold their consent.

   If an individual provides their PII, consent is assumed.

f. Do individuals have the opportunity to consent to the specific uses of their PII?

   X Yes  No

   (1) If “Yes,” describe the method by which individuals can give or withhold their consent.

   (2) If “No,” state the reason why individuals cannot give or withhold their consent.

   Privacy Act Statement  Privacy Advisory  Not Applicable

   When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

   X  Privacy Act Statement

   10 U.S.C Section 5013, Secretary of the Navy, 10 U.S.C. 117, Readiness Reporting System establishment; DoD Directive 7730.65, Department of Defense, Readiness Reporting System, OPNAV Instruction 3501 360 Series, Defense Readiness Reporting System - Navy

   AUTHORITY

   PRINCIPAL PURPOSE(S)

   To provide the means to measure and report the readiness status of Navy Expeditionary Combat Command forces.

   ROUTINE USE(S)

   The "Blanket Routine Uses" set forth at the beginning of the Navy's compilation of Systems of Record Notices applies to this system.

   DISCLOSURE

   Disclosure of personal information for military personnel is mandatory and failure to do so may subject the individual to disciplinary action.
h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)

- **Within the DoD Component**

- **Other DoD Components**

- **Other Federal Agencies**

- **State and Local Agencies**

- **Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)**

- **Other (e.g., commercial providers, colleges).**

i. **Source of the PII collected is: (Check all that apply and list all information systems if applicable)**

- **Individuals**

- **Existing DoD Information Systems**

- **Other Federal Information Systems**

- **Databases**

- **Commercial Systems**

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Personal Information for mission related purposes is obtained from existing legacy systems including:

- NES - Navy Enlisted System N01080-1, N01080-2
- OPINS - Officer Personnel Information System N01080-1, N01080-2
- NRRM - Navy Reserve Readiness Module N01080-3
- FLTMS - Fleet Training Management and Planning System N01500-9
- ASM - Advanced Skills Management NM01500-3
- NMCMP - Navy and Marine Corps Mobilization Processing System N01070-13
- DONCADS - Department of Navy Civilian Authoritative Data Source
- EMPARTS - Expeditionary Medicine Platform Readiness Training System Authoritative Data Source

Medical and Individual Readiness:
Information supplied by user activity in the Individual Readiness Module

Account Access:
Information supplied by user when registering for account
j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

☐ E-mail  ☐ Official Form (Enter Form Number(s) in the box below)

☐ Face-to-Face Contact  ☐ Paper

☐ Fax  ☐ Telephone Interview

☐ Information Sharing - System to System  ☐ Website/E-Form

☐ Other (If Other, enter the information in the box below)

Other: PII information added to RCRP by users.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

☐ Yes  ☐ No

If "Yes," enter SORN System Identifier  N03501-3

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpclsd.defense.gov/Privacy/SORNs/
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date  N/A

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

N/A

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority  DAA-NU-2015-0001-000

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

Records are cut off by calendar year, locally archived for one year and then transferred to NARA.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar,

(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII.

(if multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

SORN N03501-3, Readiness and Cost Reporting Program (RCRP) Records (July 22, 2010, 75 FR 42719), authorities:

10 U.S.C. 5013, Secretary of the Navy

10 U.S.C. 117, Readiness Reporting System establishment: Reporting to Congressional Committees;


DoD Directive 5149.2, Senior Readiness Oversight Council (July 2002, certified current April 2007)


OPNAV Instruction 3501.360A, Defense Readiness Reporting System - Navy (DRRS-N) (October 2014)
n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes  ☒ No  ☐ Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8510.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

RCRP does not collect information from members of the public.