Section 1: PII Description Summary (For Public Release)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

☐ From members of the general public

☐ From both members of the general public and Federal employees and/or Federal contractors

☐ Not Collected (If checked proceed to Section 4)

b. The PII is in a: (Check one)

☐ New DoD Information System

☐ New Electronic Collection

☐ Existing DoD Information System

☐ Existing Electronic Collection

☐ Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The Navy's VAMOSC Program collects historical operating and support (O&S) costs on US Navy and Marine Corps weapon systems. As personnel costs make up the largest fraction of a weapon system's O&S costs, the Navy VAMOSC Program collects US Navy and Marine Corps personnel costs and non-cost information and assigns them to the applicable weapon systems. These cost and non-cost data files are received separately from the Services (NPC N1 and HQMC) and the Defense Manpower Data Center. The only way to link these file is through a common field which is the Social Security Number.

PII collected includes: Name, SSN, DoD ID number, gender, marital status, child information, number of dependents, birth date, financial information, official duty telephone number, rank/grade, citizenship, employment information, official duty, address, race/ethnicity, work email address.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Data matching.

SSNs are the only common field linking the pay system files and the personnel system data files. Once the linkage has been established, unique identifiers replace SSNs in the subsequent working copies of the files. This PII information allows us to link the pay and non-pay data files that are received monthly, and allows assignment of personnel costs to particular weapon systems as well as aggregated attribute data including pay grade, officer designator, Navy Enlisted Classification/Military Occupational Specialty, and number of dependents. This non-cost information is invaluable in determining the cost drivers of existing systems and in estimating the personnel costs of future systems.

e. Do individuals have the opportunity to object to the collection of their PII?  ☐ Yes  ☒ No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The PII data is not collected directly from individuals, but instead is collected from other DoD sources that have collected the data for their purposes.

f. Do individuals have the opportunity to consent to the specific uses of their PII?  ☐ Yes  ☒ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.
PII is not collected directly from the individual. Since data is collected from other DoD sources, there is no opportunity for consent to be requested. Also, the SSN PII data is collected only for proper alignment of the data across data submissions and only used long enough to generate new unique identifiers used in our system. The system does not contain PII data that can be tracked back to individuals.

**g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided.** *(Check as appropriate and provide the actual wording.)*

- [ ] Privacy Act Statement
- [x] Privacy Advisory
- [x] Not Applicable

PII is not collected directly from the individual.

**h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component?** *(Check all that apply)*

- [x] Within the DoD Component
- [x] Other DoD Components
- [ ] Other Federal Agencies
- [ ] State and Local Agencies
- [ ] Contractor *(Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)* Specify.
- [ ] Other (e.g., commercial providers, colleges). Specify.

**i. Source of the PII collected is:** *(Check all that apply and list all information systems if applicable)*

- [ ] Individuals
- [ ] Databases
- [x] Existing DoD Information Systems
- [ ] Commercial Systems
- [ ] Other Federal Information Systems
- Navy Standard Integrated Personnel System (NSIPS)
- Defense Manpower Data Center Reporting System (DMDCRS)
- Navy Training Management & Planning System (NTMPS)

**j. How will the information be collected?** *(Check all that apply and list all Official Form Numbers if applicable)*

- [ ] E-mail
- [ ] Official Form *(Enter Form Number(s) in the box below)*
- [ ] Face-to-Face Contact
- [ ] Paper
- [ ] Fax
- [ ] Telephone Interview
- [x] Information Sharing - System to System
- [ ] Website/E-Form
- [ ] Other (If Other, enter the information in the box below)

**k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?**

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- [x] Yes
- [ ] No

If "Yes," enter SORN System Identifier **NM05000-2; N01080-1; N01080-2; N010**

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcltd.defense.gov/Privacy/SORNs/ or Consult the DoD Component Privacy Office for this date **nm05000-2; n01080-1; n01080-2; n010**
If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

SORNs listed above: NM05000-2, N01080-1, N01080-2, N01080-3, M01040-3, DMDC 01, N07220-1

I. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

DAA-NU-2015-0009

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

9000-31: Destroy immediately after the identical records have been deleted or replaced by a subsequent backup file, but longer retention is authorized if required for business use.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.

(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.


SORN N01080-1, Enlisted Master File Automated Systems (June 07, 2013, 78 FR 34354), authorities: 10 U.S.C. 5013, Secretary of the Navy; Department of Defense Instructions DoDI 1336.08, Military Human Resource Records Life Cycle Management; DoDI 1336.05, Automated Extract of Active Duty Military Personnel Records; DoDI 7730.54, Reserve Components Common Personnel Data System (RCCPDS); Chief of Naval Operations Instructions OPNAVINST 1070.2 Series, Automated Extracts of Active Duty Military Personnel Records; and OPNAVINST 1001.19 Series, Reserve Components Common Personnel Data System (RCCPDS); and E.O. 9397 (SSN), as amended.

SORN N01080-2, Officer Master File Automated Systems (November 01, 2013, 78 FR 65620), authorities: 10 U.S.C. 5013, Secretary of the Navy and E.O. 9397 (SSN), as amended.


Long-Term Care Insurance; 10 U.S.C. 2358, Research and Development Projects; and E.O. 9397 (SSN), as amended.

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<th>n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?</th>
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<tr>
<td>Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.</td>
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<tr>
<td>☐ Yes ☑ No ☐ Pending</td>
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(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

OMB approval is not required since the scope of the Naval VAMOSC Application System does not include collecting information from the public.