PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:
Manpower Models (MODELS)

2. DOD COMPONENT NAME:  
Department of the Navy/United States Marine Corps

3. PIA APPROVAL DATE:  
12/15/20

HQMC, Manpower and Reserve Affairs

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

☐ From members of the general public  
☐ From both members of the general public and Federal employees and/or Federal contractors  
☒ From Federal employees and/or Federal contractors

☐ Not Collected (If checked proceed to Section 4)

b. The PII is in a: (Check one)

☐ New DoD Information System  
☐ Existing DoD Information System  
☒ Significantly Modified DoD Information System

☐ New Electronic Collection  
☐ Existing Electronic Collection

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

Manpower Models (MODELS) is a collection of distinct applications which support core manpower planning processes of accessing, classifying, retaining, promoting, mobilizing, distributing, and assigning Marines for the following organizations: M&RA; Manpower Management (MM); Manpower Plans and Policy (MP) and Reserve Affairs (RA).

The system contains manpower and personnel data such as Names, Ranks, Social Security Numbers, and Training Information.

This data is used for creating forecasting and simulations of active duty and reserve assignments, end strength projections, and recruit distribution.

MODELS has access to all Marine Corps active duty and reserve personnel data contained in the Operational Data Store Enterprise (ODSE) and Total Force Data Warehouse (TFDW) historical data that has been maintained since September 1988.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Verification and identification.

e. Do individuals have the opportunity to object to the collection of their PII?  

☐ Yes  
☒ No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

PII is not collected directly from the individual.

f. Do individuals have the opportunity to consent to the specific uses of their PII?  

☐ Yes  
☒ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

PII is not collected directly from the individual.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)
 MODELS does not collect PII directly from an individual (Record Source) and therefore does not require a Privacy Act Statement (PAS) "pop-up" screen. MODELS receives PII from those systems listed in Section 1, paragraph h of this PIA. A Privacy Act Warning (PAW) does "pop-up" when users log into MODELS.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)

- X Within the DoD Component

  PII will be shared with the following systems and their users/owners.

  System: Total Force Data Warehouse (TFDW)
  System Owner: USMC, M&RA

  System: Operational Data Store Environment (ODSE)
  System Owner: USMC, M&RA

  System: Total Force Structure Management System (TFSMS)
  System Owner: USMC, MCCDC

  System: Marine Corps Training Information Management System (MCTIMS)
  System Owner: USMC, Training Command

  System: Web-enabled Manpower Assignment Support System (WebMASS)
  System Owner: USMC, M&RA

  System: Operational Data Store Environment (ODSE)
  System Owner: USMC, M&RA

  System: Manpower Information Portal (MIP)
  System Owner: USMC, M&RA

  Specify.

- Other DoD Components
  Specify.

- Other Federal Agencies
  Specify.

- State and Local Agencies
  Specify.

- Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

  X Specify.

- Other (e.g., commercial providers, colleges).
  Specify.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

SAIC is the system integrator for MODELS. Contractors must sign a Non Disclosure Agreement (NDA) to assure confidentiality between the contractor and government to protect any type of confidential and proprietary information. Specific language in the contract is described as: Security measures shall be taken to satisfy the security requirements in accordance with the Marine Corps System Security Plan. MODELS data/information shall be protected from an Information Systems Security (INFOSEC) perspective. The contractor shall apply security considerations to software design and management. Only contractors who have a valid need to know and a favorably adjudicated background investigation are permitted to have access to MODELS. During the course of routine system maintenance contractors may be exposed to PII. Users are DoD employees or authorized contractors supporting the DoD. The two FAR privacy clauses are not included in the current contract, but will be added when the contract is renewed.
MODELS receives PII through its automated system-to-system interfaces with the following Marine Corps systems which include:
- Marine Corps Training Information Management System (MCTIMS)
- Operational Data Store Enterprise (ODSE), Total Force Data Warehouse (TFDW)
- Total Force Structure Management System (TFSMS) - (Structure ONLY, NO PII Sharing)
- Web-enabled Manpower Assignment Support System (WebMASS)

**j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)**

- [ ] E-mail
- [ ] Face-to-Face Contact
- [ ] Fax
- [X] Information Sharing - System to System
- [ ] Other (If Other, enter the information in the box below)

**k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?**

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- [X] Yes
- [ ] No

If "Yes," enter SORN System Identifier: M01040-3

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date.

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

**i. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?**

1. NARA Job Number or General Records Schedule Authority: N1-127-09-5 / 1

2. If pending, provide the date the SF-115 was submitted to NARA.

3. Retention Instructions.

Cutoff at CY. Destroy 25 years after cutoff.
m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

<table>
<thead>
<tr>
<th>SORN M01040-3 Marine Corps Manpower Management Information System Records (April 29, 2010, 75 FR 22570) Authorities:</th>
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</thead>
<tbody>
<tr>
<td>10 U.S.C. 5013, Secretary of the Navy;</td>
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<tr>
<td>10 U.S.C. 5041, Headquarters, Marine Corps;</td>
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<tr>
<td>10 U.S.C. 1074f, Medical Tracking System for Members Deployed Overseas;</td>
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<tr>
<td>32 CFR 64.4, Management and Mobilization;</td>
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<tr>
<td>DoD Dir 1215.13, Reserve Component Member Participation Policy;</td>
</tr>
<tr>
<td>DoD Instruction 3001.02, Personnel Accountability in Conjunction with Natural and Man-made Disasters;</td>
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<tr>
<td>CJCSM 3150.13B, Joint Reporting Structure Personnel Manual;</td>
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<tr>
<td>DoD Instruction 6490.03, Deployment Health;</td>
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<tr>
<td>MCMEDS: SECNAVINST 1770.3D, Management and Disposition of Incapacitation Benefits for Members of the Navy and Marine Corps Reserve Components (Renamed Line of Duty(LOD));</td>
</tr>
<tr>
<td>MCO 7220.50, Marine Corps Policy for paying Reserve Marines; and</td>
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</tbody>
</table>

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format:

☐ Yes  ☑ No  ☐ Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

No information is collected from any members of the public.