PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:
Transfer of Education Benefits - Rejection Notification (TEB-RN)

2. DOD COMPONENT NAME:
Department of the Navy

3. PIA APPROVAL DATE:
10/27/20

Bureau of Naval Personnel (BUPERS)

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

☐ From members of the general public

☒ From Federal employees and/or Federal contractors

☐ From both members of the general public and Federal employees and/or Federal contractors

☐ Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one)

☐ New DoD Information System

☐ Existing DoD Information System

☒ Significant Modified DoD Information System

☐ New Electronic Collection

☒ Existing Electronic Collection

System Description: The TEB-RN application provides designated users the ability to notify service members regarding the status of their submitted TEB request. The application generates a canned email advising sailors of either approval or rejection of their request, directing them to log into the milConnect website for more details. This application applies to both active and Full Time Support (FTS) service members. Members log into milConnect at Defense Manpower Data Center (DMDC) and submit their request to transfer Post-9/11 education benefits to dependents. PERS-311 logs into milConnect and extracts a list of members whose requests were adjudicated that week. PERS-311 then logs into TEB-RN and uploads the list of SSNs that correspond to the list of requests. BUPERS ONLINE (BOL) matches the SSN to the associated email address and forwards a canned email to the sailor communicating the status of their request. The email directs the member to log into milConnect to retain approval information if approved or view the rejection reason if denied. It is important to understand that the two applications (milConnect and TEB-RN) are unique and both serve different purposes. The member logs into milConnect at DMDC, but there is no input from the member into TEB-RAN. TEB-RN is strictly used by designated 311 personnel.

PII Collected includes: Name, SSN

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Identification, data matching, administrative use.

e. Do individuals have the opportunity to object to the collection of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The application does not collect information directly from the individual.

f. Do individuals have the opportunity to consent to the specific uses of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

The application does not collect information directly from the individual.
g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

[ ] Privacy Act Statement [ ] Privacy Advisory [X] Not Applicable

The application does not collect information directly from the individual

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)

[X] Within the DoD Component

[ ] Other DoD Components

[ ] Other Federal Agencies

[ ] State and Local Agencies

[ ] Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

[ ] Other (e.g., commercial providers, colleges).

Specify.

[X] Naval Personnel Command (NPC) PERS-31

Specify.

Specify.

Specify.

Specify.

Specify.

There are four vendors that support this application: Aries, Booze-Allen-Hamilton, Capstone and SAIC. We have verified that all required FAR clauses and DFAR required language is included in all of the contracts.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

[ ] Individuals

[X] Existing DoD Information Systems

[ ] Databases

[ ] Commercial Systems

[ ] Other Federal Information Systems

[j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

[ ] E-mail

[ ] Official Form (Enter Form Number(s) in the box below)

[ ] Face-to-Face Contact

[ ] Paper

[ ] Fax

[ ] Telephone Interview

[X] Information Sharing - System to System

[ ] Website/E-Form

[k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

[X] Yes [ ] No

If "Yes," enter SORN System Identifier NM05000-2

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpclid.defense.gov/Privacy/SORNs/
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

[l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority. N/A
m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.

(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII.

   (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

   (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

   (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

SORN NM05000-2, Program Management and Locator system (January 24, 2008, 73 FR 4193), authorities:
10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 5041, Headquarters, Marine Corps; and E.O. 9397 (SSN).

10 U.S.C. 5013, Secretary of the Navy
10 U.S.C. 5041, Headquarters, Marine Corps
E.O. 9397 (SSN)

Other authorities:

DoDI 1341.13 (31 May 2013)
OPNAVINST 1780.4A Post-9/11 GI Bill
BUPERSINST 1780.1A GI Bill Education Benefits Programs
BUPERSNOTE 1780, Post 9/11 GI Bill

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes  ☒ No  ☐ Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The application does not collect information from members of the public.