PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

RESERVE INTEGRATED MANAGEMENT SYSTEM (FINANCIAL MANAGEMENT) (RIMS (FM))

2. DOD COMPONENT NAME: Department of the Navy

3. PIA APPROVAL DATE: 05/26/20

NAVWAR - PMW 240 - PEO EIS

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

☐ From members of the general public

☒ From Federal employees and/or Federal contractors

☐ From both members of the general public and Federal employees and/or

Federal contractors

☐ Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one)

☐ New DoD Information System

☐ Existing DoD Information System

☒ Existing Electronic Collection

☐ Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The mission of COMNAVRESFORCOM is training and mobilization of Reservists. Funding for RPN training is authorized by a congressional appropriation, which provides authority to expend funds for mission essential training, Special training, and NSHS, each fiscal year. All costs incurred under the RPN appropriation must be supported by financial documents. The RIMS (FM) system is a management tool, for COMNAVRESFORCOM N00F and NSHS, supporting all official AT, ADT, and IDTT financial records and documents. The system allows the entry and processing of two primary transactions reservation and adjustments. A reservation is the amount set aside to fund training for reservist orders and an adjustment is changes to the reserved amount resulting from modifications to orders. The RIMS (FM) system handles information that is necessary for the conduct of day-to-day business, but does not materially affect support to deployed or contingency forces in the short-term. The consequences of loss of integrity or availability can be tolerated or overcome without significant impacts on mission effectiveness or operational readiness. Based on that, the Mission Assurance Category level for RIMS is III. The Confidentiality level for the RIMS system is Sensitive. Maintenance and hosting of the RIMS application is performed at NIWC LANT New Orleans. PII Collected - Financial Information, Names and SSN.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Mission Related Use

e. Do individuals have the opportunity to object to the collection of their PII?

☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

PII is not collected from the individual.

f. Do individuals have the opportunity to consent to the specific uses of their PII?

☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

PII is not collected from the individual.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)
Not Applicable

Privacy Act Statement
Privacy Advisory

PII is not collected from the individual.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)

<table>
<thead>
<tr>
<th>Option</th>
<th>Specify</th>
</tr>
</thead>
<tbody>
<tr>
<td>Within the DoD Component</td>
<td>COMMANDER NAVAL RESERVE FORCES N00F and NSHS</td>
</tr>
<tr>
<td>Other DoD Components</td>
<td></td>
</tr>
<tr>
<td>Other Federal Agencies</td>
<td></td>
</tr>
<tr>
<td>State and Local Agencies</td>
<td></td>
</tr>
<tr>
<td>Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)</td>
<td></td>
</tr>
<tr>
<td>Other (e.g., commercial providers, colleges).</td>
<td></td>
</tr>
</tbody>
</table>

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

<table>
<thead>
<tr>
<th>Option</th>
<th>Specify</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individuals</td>
<td></td>
</tr>
<tr>
<td>Existing DoD Information Systems</td>
<td></td>
</tr>
<tr>
<td>Other Federal Information Systems</td>
<td></td>
</tr>
</tbody>
</table>

Navy Standard Integrated Personnel System (NSIPS)
Defense Joint Military Pay System (DJMS)

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

<table>
<thead>
<tr>
<th>Option</th>
<th>Specify</th>
</tr>
</thead>
<tbody>
<tr>
<td>E-mail</td>
<td></td>
</tr>
<tr>
<td>Face-to-Face Contact</td>
<td></td>
</tr>
<tr>
<td>Fax</td>
<td></td>
</tr>
<tr>
<td>Information Sharing - System to System</td>
<td></td>
</tr>
<tr>
<td>Other (If Other, enter the information in the box below)</td>
<td></td>
</tr>
</tbody>
</table>

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
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</table>

If "Yes," enter SORN System Identifier N01571-1

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/ or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

| NARA Job Number or General Records Schedule Authority. | 7000-42 |

(1)
(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

SSIC 7000-42: TEMPORARY: Cutoff at end of end of fiscal year. Destroy 1 year after cutoff.

Note: The RIMS system has a requirement to maintain historical data, therefore, all data in the RIMS database related to pay is retained indefinitely.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.

(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority (“internal housekeeping”) as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

SORN N01571-1, Reserve Financial Management/Training System (RESFMS) (February 22, 1993, 58 FR 10718), authorities:

5 U.S.C. 301, Departmental Regulations and E.O. 9397 (SSN), as amended.

Reserve Integrated Management System (RIMS) Financial Management (FM) is a mission critical Financial Management application created to manage those funds appropriated by Congress to the Navy Reserves.

RIMS(FM) replaced the financial management portion of RESFMS in 1997, which is why it falls under SORN N01571-1.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes ☒ No ☐ Pending

(1) If “Yes,” list all applicable OMB Control Numbers, collection titles, and expiration dates.

(2) If “No,” explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, “DoD Information Collections Manual: Procedures for DoD Public Information Collections.”

(3) If “Pending,” provide the date for the 60 and/or 30 day notice and the Federal Register citation.

Does not collect or maintain PII from the public.