PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:
NAVY PERSONNEL DATABASE (NPDB)

2. DOD COMPONENT NAME:
Department of the Navy

3. PIA APPROVAL DATE:
05/13/20

NAVWAR - PEO EIS - PMW 240

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

☐ From members of the general public
☐ From both members of the general public and Federal employees and/or Federal contractors
☑ From Federal employees and/or Federal contractors
☐ Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one)

☐ New DoD Information System
☐ Existing DoD Information System
☑ Significantly Modified DoD Information System
☐ New Electronic Collection
☐ Existing Electronic Collection

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

NPDB is the Navy's single source database, consolidating Navy Manpower and Personnel data from the Navy's current databases. It contains current and historical data on more than 1.75 million Navy members including officers, candidates, enlisted active and inactive, as well as those in a retired status. The Joint Personnel Adjudication System (JPAS), Active Component Common Personnel Data System (ACCPDS), and Reserve Component Common Personnel Data System (RCCPDS) all rely on NPDB data.

The data for the Navy members is provided to NPDB by other Navy systems. NPDB consolidates and organizes that data to support reporting and ad hoc queries. The data for all members contained within NPDB is originally collected by other systems; NPDB has no data input facility to create new members or capture member data.

The following information is stored for Navy members:

Name
Other Names Used
Social Security Number (SSN)
Citizenship
Gender
Race/Ethnicity
Birth Date
Place of Birth
Personal Cell Telephone Number
Home Telephone Number
Personal Email Address
Mailing/Home Address
Religious Preference
Security Clearance
Spouse Information
Marital Status
Military Records
Education Information
d. Why is the PII collected and/or what is the intended use of the PII? *(e.g., verification, identification, authentication, data matching, mission-related use, administrative use)*

NDPB uses SSN to uniquely identify Navy members within the NDPB application and in all batch processing. Memorandum for the record for justification for the use of the Social Security Number is still valid and uploaded in the DITPR DON record.

Administrative use.

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e. Do individuals have the opportunity to object to the collection of their PII?  

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
</table>

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

PII is not collected from the individual.

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f. Do individuals have the opportunity to consent to the specific uses of their PII?  

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
</table>

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

PII is not collected from the individual; it is received and shared via interfaces.

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g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. *(Check as appropriate and provide the actual wording.)*

- [ ] Privacy Act Statement
- [x] Privacy Advisory
- [x] Not Applicable

PII is not collected from the individual.

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h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? *(Check all that apply)*

- [x] Within the DoD Component  
  Specify.  
  Navy Personnel Command (NPC), OPNAV, ECH II Navy Commands

- [x] Other DoD Components  
  Specify.  
  Defense Manpower Data Center (DMDC), Defense Finance and Accounting Service (DFAS)

- [x] Other Federal Agencies  
  Specify.  
  Social Security Administration

- [ ] State and Local Agencies  
  Specify.  

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Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

[ ] aVenture Technologies, LLC

Contract Number: N65236-13-D-4940
Delivery Order: N65236-17-F-3086

"The Contractor shall comply with the DON Privacy program per SECNAVINST 5211.5E.
The Contractor shall ensure all categories of sensitive information, including Personally Identifiable Information (PII), are secured and in compliance with all IA Controls from the DoDI 8500.2, specifically IA Controls DCFA-1 and DCSR-2. Compliance includes the encryption of “data in transit” and “data at rest” as required by the data owner.
The Contractor shall comply with DON CIO MSG DTG 17195Z APR 07 to ensure that all Personally Identifiable Information (PII) is properly safeguarded. The requirement under the E-Government Act of 2002, mandates that all PII be protected. In addition, systems processing PII must have completed a Privacy Impact Assessment (PIA) and register that PIA with DON CIO.

Contract Section: The Contractor must control personnel access to DoD and DON data by ensuring all CSP employees who have access to government data, architecture that supports government data, or any physical or logical devices/code shall be a U.S. person per Executive Order 12333 and pass an appropriate background check as required by Homeland Security Presidential Directive -12.
The contractor confirmed this requirement is supported.
The contractor clauses:
52.224-1 Privacy Act Notification
52.224-2 Privacy Act
52.204-21 Basic Safeguarding of Covered Contractor Information Systems are in the contract.

Other (e.g., commercial providers, colleges).

[ ] Individuals
[ ] Databases
[ ] Commercial Systems
[ ] Other Federal Information Systems

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

[ ] Individuals
[ ] Databases
[ ] Commercial Systems
[ ] Existing DoD Information Systems
[ ] Other Federal Information Systems

Information is obtained through authorized system interfaces within DoN applications. Specifically:

NAVY STANDARD INTEGRATED PERSONNEL SYSTEM (NSIPS)
NAVY ENLISTED SYSTEM (NES)
OFFICER PERSONNEL INFORMATION SYSTEM (OPINS)
INACTIVE MANPOWER AND PERSONNEL MANAGEMENT INFORMATION SYSTEM (IMAPMIS)
NAVY COLLEGE MANAGEMENT INFORMATION SYSTEM (NCMIS)

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

[ ] E-mail
[ ] Official Form (Enter Form Number(s) in the box below)
[ ] Face-to-Face Contact
[ ] Paper
[ ] Fax
[ ] Telephone Interview
[ ] Information Sharing - System to System
[ ] Website/E-Form
[ ] Other (If Other, enter the information in the box below)
k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes [X] No

If "Yes," enter SORN System Identifier  N01080-1(NES), N01080-2(OPINS), N07

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

System does not contain records.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.

(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute, directive, or instruction within the DoD Component must be identified.

SORN N01080-1, Enlisted Master File Automated Systems (June 07, 2013, 78 FR 34354), authorities:
10 U.S.C. 5013, Secretary of the Navy; E.O. 9397 (SSN), as amended.

SORN N01080-2, Officer Master File Automated Systems (November 01, 2013, 78 FR 65620), authorities:
10 U.S.C. 5013, Secretary of the Navy and E.O. 9397 (SSN), as amended.

SORN N07220-1, Navy Standard Integrated Personnel System (NSIPS) (November 29, 2012, 77 FR 71185), authorities:
10 U.S.C. 5013, Secretary of the Navy and E.O. 9397 (SSN), as amended.

Other authorities:
DoDI 1336.08, Department of Defense Instructions;
DoDI 1336.05, Military Human Resource Records Life Cycle Management;
DoDI 7730.54, Automated Extract of Active Duty Military Personnel Records;
Reserve Components Common Personnel Data System (RCCPDS);
DoDI 1336.07, Reporting of Personnel Tempo (PERSTEMPO) Events;
OPNAVINST 1070.2 Series, Automated Extracts of Active Duty Military Personnel Records; and
OPNAVINST 1001.19 Series, Reserve Components Common Personnel Data System (RCCPDS);
n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes ☒ No ☐ Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, “DoD Information Collections Manual: Procedures for DoD Public Information Collections.”
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

DoD Manual 8910.01, Volume 2, Section 2b(1), 2b(3), 8b(7). NPDB does not collect information on members of the public.