**PRIVACY IMPACT ASSESSMENT (PIA)**

**PRESCRIBING AUTHORITY:** DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

### 1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

LEARNING MANAGEMENT SYSTEM - DISTANCE LEARNING (LMS-DL)

### 2. DOD COMPONENT NAME:

Department of the Navy

### 3. PIA APPROVAL DATE:

05/08/20

NAVWAR - PEOEIS - PMW 240

### SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

**a.** The PII is: (Check one. Note: foreign nationals are included in general public.)

- [ ] From members of the general public
- [ ] From both members of the general public and Federal employees and/or Federal contractors
- [X] From Federal employees and/or Federal contractors
- [ ] Not Collected (if checked proceed to Section 4)

**b.** The PII is in a: (Check one)

- [ ] New DoD Information System
- [ ] New Electronic Collection
- [X] Existing DoD Information System
- [ ] Existing Electronic Collection
- [ ] Significantly Modified DoD Information System

**c.** Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The purpose of this system is to identify individuals who enroll and take computerized training courses offered through Navy e-Learning (NeL) training and education website. Each user will be able to create an individualized training plan, complete web-based training courses and track their course progress. The system is located at the New Orleans (NOLA) Consolidated Enterprise Data Center (CEDC), 2251 Lakeshore Drive, New Orleans, LA 70122-3533. Records are maintained on electronic storage media.

Types of PII collected include name, SSN, DoD ID, DOB, pay plan/grade, rank, occupation, UIC, military status, individualized training plan and course progress.

**d.** Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Identification, verification, authentication.

**e.** Do individuals have the opportunity to object to the collection of their PII?

- [ ] Yes [X] No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

PII is not collected from the individual.

**f.** Do individuals have the opportunity to consent to the specific uses of their PII?

- [ ] Yes [X] No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

PII is not collected from the individual.

**g.** When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

- [ ] Privacy Act Statement
- [ ] Privacy Advisory [X] Not Applicable

PII is not collected from the individual.
h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component?  (Check all that apply)

- [x] Within the DoD Component
  - Specify.
  - Sea Warrior Program Office (PMW-240), Training & Education (T&E), Navy Training Management and Planning System (NTMPS), Afloat Integrated Learning Environment (AILE) operating across the Fleet
  - Specify.
  - DoD, Defense manpower Data Center (DMDC), Defense eligibility Enrollment Reporting System (DEERS)
  - Specify.
  - DoD, Defense manpower Data Center (DMDC), Defense eligibility Enrollment Reporting System (DEERS)
  - Specify.
  - State and Local Agencies
  - Specify.
  - Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)
  - Specify.
  - Other (e.g., commercial providers, colleges).
  - Specify.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- [x] Individuals
- [x] Existing DoD Information Systems
- [ ] Databases
- [ ] Commercial Systems
- [ ] Other Federal Information Systems
- Navy Training Management and Planning System (NTMPS), Afloat Integrated Learning Environment (AILE) operating across the Fleet, DoD, Defense manpower Data Center (DMDC), Defense eligibility Enrollment Reporting System (DEERS)

j. How will the information be collected?  (Check all that apply and list all Official Form Numbers if applicable)

- [ ] E-mail
- [ ] Official Form (Enter Form Number(s) in the box below)
- [ ] Face-to-Face Contact
- [ ] Paper
- [ ] Fax
- [ ] Telephone Interview
- [x] Information Sharing - System to System
- [ ] Website/E-Form
- [ ] Other (If Other, enter the information in the box below)

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- [x] Yes
- [ ] No

If "Yes," enter SORN System Identifier: NM01500-9

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date.

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority: DAA-GRS-2016-0016-00

(2) If pending, provide the date the SF-115 was submitted to NARA.
(3) Retention Instructions.

TEMPORARY - Destroy when 2 years old.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statue or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority (“internal housekeeping”) as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

SORN NM01500-9, Integrated Learning Environment (ILE) Classes. (February 09, 2010, 75 FR 6362), authorities:

5 U.S.C. 301, Departmental Regulations;
10 U.S.C. 5013, Secretary of the Navy;
10 U.S.C. 5042, Headquarters, Marine Corps;
14 U.S.C. 93, Commandant, U.S. Coast Guard General Powers;
E.O. 9397 (SSN), as amended.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes  ☒ No  ☐ Pending

(1) If “Yes,” list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If “No,” explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, “DoD Information Collections Manual: Procedures for DoD Public Information Collections.”
(3) If “Pending,” provide the date for the 60 and/or 30 day notice and the Federal Register citation.

LMS-DL does not collect on members of the public.