PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:
Navy Standard Integrated Personnel System - Navy Pay and Personnel (NSIPS-NP2)

2. DOD COMPONENT NAME:
Department of the Navy

3. PIA APPROVAL DATE:

NAVWAR PEO EIS (PMW 240)

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

- [ ] From members of the general public
- [X] From both members of the general public and Federal employees and/or Federal contractors
- [ ] From Federal employees and/or Federal contractors
- [ ] Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one)

- [ ] New DoD Information System
- [ ] New Electronic Collection
- [X] Existing DoD Information System
- [ ] Existing Electronic Collection
- [ ] Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

NSIPS-NP2 is a system within the Amazon Web Services (AWS) Government Cloud (GovCloud), accessible only through the Navy Cloud Access Point (CAP) via Space and Naval Warfare (SPAWAR) Data Center and Commercial Hosting Services (DC2HS), to support testing of a new hosting environment for the Navy Standard Integrated Personnel System (NSIPS) Navy Personnel and Pay (NP2) in compliance with the Navy’s Cloud First strategy.

NP2 is the follow on to NSIPS, building the first increment to N1’s Personnel and Pay Transformation effort. NP2 will leverage the AWS GovCloud Impact Level 4 (IL4) as the Cloud Service Offerer (CSO) in an Infrastructure as a Service (IaaS) and Software as a Service (Saas) capability. NP2 will also utilize the AWS IL4 and DC2HS approved services where noted.

PII Collected. Birth Date, Child Information, Citizenship, DoD ID Number, Education Information, Emergency Contact, Employment Information, Financial Information, Gender/Gender Identification, Home/Cell Phone, Legal Status, Mailing/Home Address, Marital Status, Military Records, Mother Middle/Maiden Name, Name(s), Other ID Number, Personal Email Address, Place of Birth, Race/Ethnicity, Religious Preference, Security Information, Social Security Number, Other. See section 2, question a. for more details.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Verification, identification, and data matching.

e. Do individuals have the opportunity to object to the collection of their PII?  [ ] Yes [X] No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The PII is not collected directly from the individual.

f. Do individuals have the opportunity to consent to the specific uses of their PII?  [ ] Yes [X] No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

The PII is not collected directly from the individual.
g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

- Privacy Act Statement
- Privacy Advisory
- Not Applicable

The PII is not collected directly from the individual.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)

<table>
<thead>
<tr>
<th>Within the DoD Component</th>
<th>Specify.</th>
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<tbody>
<tr>
<td>Bureau of Naval Personnel</td>
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<td>Naval Education and Training Command</td>
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<td>Naval Installations Command</td>
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<td>Navy Medicine Information Systems Support Activity</td>
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<td>Navy Reserve Force</td>
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<tr>
<td>Space and Naval Warfare Systems Command</td>
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<tr>
<td>Other DoD Components</td>
<td>Specify.</td>
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<td>Air Mobility Command</td>
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<td>Defense Finance and Accounting Service</td>
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<td>Office of the Secretary of Defense</td>
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<td>Defense Manpower Data Center (DMDC)</td>
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<td>Other Federal Agencies</td>
<td>Specify.</td>
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<tr>
<td>State and Local Agencies</td>
<td>Specify.</td>
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<tr>
<td>SRA International, INC</td>
<td></td>
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As stated Section 6.3 Data Protection in the SRA International, INC Performance Work Statement for the Indefinite Delivery/Indefinite Quantity (ID/IQ) Contract N00039-14-D-0001: "The Contractor shall comply with the DON Privacy program per SECNAVINST 5211.5E. The Contractor shall ensure all categories of sensitive information, including PII, are secured and in compliance with all IA Controls from the DoDI 8500.2, specifically IA Controls DCFA-1 and DCSR-2. Compliance includes the encryption of "data in transit" and "data at rest" as required by the data owner.

The Contractor shall comply with DON CIO MSG DTG 1719522 APR 07 to ensure that all Personally Identifiable Information (PII) is properly safeguarded. The requirement under the E-Government Act of 2002, mandates that all PII be protected. In addition, systems processing PII must have completed a Privacy Impact Assessment (PIA) and register that PIA with DON CIO.

The Contractor shall provide controlled access to prevent unauthorized access to DoD systems and information using identification and authentication as well as encryption."

The contractor clauses are listed in the contract:

- 52.224-1 Privacy Act Notification
- 52.224-2 Privacy Act
- 52.204-21 Basic Safeguarding of Covered Contractor

Other (e.g., commercial providers, colleges). Specify.
i. **Source of the PII collected is:** (Check all that apply and list all information systems if applicable)

- [X] Individuals
- Database
- Commercial Systems
- Existing DoD Information Systems
- Other Federal Information Systems

Existing DoD information systems:
- Corporate Enterprise Training Activity Resource System (Ce TARS)
- Electronic Navy Housing (ENH)
- Medical Readiness Reporting System (MRRS)
- Navy Knowledge Online (NKO)
- Navy Manpower Program and Budget System (NMPBS)
- Navy Training Management and Planning System (NTMPS)
- Perform to Serve (PTS)
- Total Workforce Management Services (TWMS)
- Diary Message Reporting System (DMRS)
- Defense Enterprise Accounting and Management System (DEAMS)
- Component Billing System (DCBS)
- Defense Common Access System (DENCAS)
- Defense Manpower Data Center (DMDC)
- Navy Enlisted Advancement System (NEAS)
- Navy Personnel Database (NPDB)
- Defense Casualty Information Processing System (DCIPS)
- Navy Enlisted System (NES)
- Navy Manpower Program and Budget System (NMPBS/N1 O)
- Navy Military Personnel Distribution System (NMPDS)
- Navy Reserve Order Writing System (NROWS)
- Officer Personnel Information System (OPINS)
- Physical Readiness Information Management System (PRIMS)
- Standard Accounting and Reporting System-Field Level (STARS-FL)
- Total Workforce Management Services (TWMS)
- Total Force Manpower Management System (TFMMS)
- Personalized Recruiting for Immediate and Delayed Enlistment (PRIDE)
- Reserve Standard Training Administrative Readiness Support Training Module (RSTARS TM)
- Reserve Standard Training Administrative Readiness Support Medical Module (RSTARS MM)
- Navy Training Reservations System (NTRS)
- Defense Joint MILPAY System - Active Component (DJMS-AC)
- Defense Joint MILPAY System - Reserve Component (DJMS-RC)

j. **How will the information be collected?** (Check all that apply and list all Official Form Numbers if applicable)

- [X] E-mail
- [ ] Official Form (Enter Form Number(s) in the box below)
- Face-to-Face Contact
- [ ] Paper
- Fax
- [ ] Telephone Interview
- Information Sharing - System to System
- [ ] Website/E-Form
- Other (If Other, enter the information in the box below)

k. **Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?**

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- [X] Yes
- [ ] No

If "Yes," enter SORN System Identifier: N07220-1

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date.
If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

I. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.  

GRS 2.24

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

SSIC 7000-28: TEMPORARY: Cutoff at end of end of calendar year. Destroy 3 years after cutoff.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.

(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.


10 U.S.C. 5013, Secretary of the Navy  
E.O. 9397 (SSN), as amended.

Specifically, per 10 U.S.C. 5013, the Secretary of the Navy is responsible for, and has the authority necessary to conduct, all affairs of the Department of the Navy, including; Organizing, Mobilizing, Demobilizing, Servicing, and Administering (including the morale and welfare of personnel). NSIPS is the Navy's field level tool for gathering personnel data, and is the fundamental tool to gather the information required to meet the responsibilities laid out in 10 U.S.C. 5013.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes  ☒ No  ☐ Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.

(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."

(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

PII is not collected directly from the public.