PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY:  DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:
Navy Reserve Data Warehouse (NRDW)

2. DOD COMPONENT NAME:
Department of the Navy

3. PIA APPROVAL DATE:
11/06/19

Navy Reserve Force

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is:  (Check one.  Note: foreign nationals are included in general public.)

☐ From members of the general public

☒ From Federal employees and/or Federal contractors

☐ Not Collected (if checked proceed to Section 4)

b. The PII is in a:  (Check one)

☐ New DoD Information System

☐ New Electronic Collection

☐ Existing DoD Information System

☒ Existing Electronic Collection

☐ Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

Navy Reserve Data Warehouse is a corporate data warehouse designed to effectively consolidate, integrate, and archive disparate Navy Reserve corporate legacy databases into a single, web-enabled, content rich information source. The system objective is to better inform leadership decision makers and to streamline data analysis efforts. It provides a foundation for Business Intelligence, provides projection and trend information for the entire Navy Reserve Force. System interfaces with the Navy Standard Integrated Personnel System (NSIPS), Navy Reserve Order Writing System (NROWS), Reserve Components Common Personnel Data System (RCCPDS), Navy Reserve Readiness Module (NRRM), Medical Readiness Reporting System (MRRS) and Navy Reserve Homeport (NRH) which provide the PII contained in NRDW.

NRDW stores records reflecting information pertaining to the individual's participation in the Reserves.

Personally identifiable information collected includes: Name, rank/grade, Social Security Number (SSN), citizenship, gender, race/ethnicity, date of birth, home telephone number, home mailing address, religious preference, security clearance, martial status, military records, Medical information includes: that information related to retention and advancement/promotion; Education Information includes: education level/major/speciality code, high school diploma or GED and years of education; and other pertinent information related to recruitment, classification, assignment, retention, reenlistment, promotion, advancement, training, education, professional history, experience, performance, qualifications, retirement, orders and administration Selected Reserves (SELRES).

d. Why is the PII collected and/or what is the intended use of the PII?  (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

PII is not solicited from the individual by NRDW. PII is collected by the authoritative source systems and securely transferred to NRDW on a periodic basis. The individual's opportunity to consent would be at the collection point by the authoritative source systems. NRDW stores PII, but does not provide any mechanism for the collection of PII from the individual.

e. Do individuals have the opportunity to object to the collection of their PII?  ☒ Yes ☐ No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

PII is not solicited from the individual by NRDW.

f. Do individuals have the opportunity to consent to the specific uses of their PII?  ☒ Yes ☐ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.
(2) If "No," state the reason why individuals cannot give or withhold their consent.

PII is not solicited from the individual by NRDW.

<table>
<thead>
<tr>
<th>g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)</th>
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</thead>
<tbody>
<tr>
<td>Privacy Act Statement</td>
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</table>

PII is not collected directly from the individual.

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<tr>
<th>h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)</th>
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<tbody>
<tr>
<td>Other DoD Components</td>
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<tr>
<td>Other Federal Agencies</td>
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<tr>
<td>State and Local Agencies</td>
</tr>
<tr>
<td>Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)</td>
</tr>
<tr>
<td>CACI, Inc.</td>
</tr>
<tr>
<td>Other (e.g., commercial providers, colleges).</td>
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<tr>
<th>i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)</th>
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<tbody>
<tr>
<td>Individuals</td>
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<tr>
<td>Existing DoD Information Systems</td>
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<tr>
<td>Other Federal Information Systems</td>
</tr>
<tr>
<td>NAVY STANDARD INTEGRATED PERSONNEL SYSTEM (NSIPS)</td>
</tr>
<tr>
<td>NAVY RESERVE ORDER WRITING SYSTEM (NROWS)</td>
</tr>
<tr>
<td>RESERVE COMPONENT COMMON PERSONNEL DATA SYSTEM (RCCPDS)</td>
</tr>
<tr>
<td>NAVY RESERVE READINESS MODULE (NRRM)</td>
</tr>
<tr>
<td>MEDICAL READINESS REPORTING SYSTEM (MRRS)</td>
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<tr>
<td>NAVY RESERVE HOMEPORT (NRH)</td>
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</table>
j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- [ ] E-mail
- [ ] Official Form (Enter Form Number(s) in the box below)
- [ ] Face-to-Face Contact
- [ ] Paper
- [ ] Fax
- [x] Telephone Interview
- [ ] Website/E-Form
- [ ] Information Sharing - System to System
- [ ] Other (If Other, enter the information in the box below)

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- [x] Yes
- [ ] No

If "Yes," enter SORN System Identifier [N01080-3]

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

NRDW does not create unique/original records but collects "convenience copy" record data from feeder systems.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.

(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

SORN N01080-3, Reserve Command Management Information (February 22, 1993, 58 FR 10706) authorities:
5 U.S.C. 301, Department Regulations; and E.O. 9397 (SSN), as amended
Other Authorities:
DoD 6025.18-R, DoD Health Information Privacy Regulations;
10 U.S.C. 5013, Department of the Navy
10 U.S.C. Subtitle E, Reserve Components
1007, Administration of Reserve Components
G. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes  ☒ No  ☐ Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The NRDW does not collect information from members of the public.