

## PRIVACY IMPACT ASSESSMENT (PIA)

**PRESCRIBING AUTHORITY:** DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

**1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:**

Navy Business Intelligence Services (NBIS) (eMASS ID# 8053)

**2. DOD COMPONENT NAME:**

Department of the Navy

**3. PIA APPROVAL DATE:**

11/06/19

NAVSUP BSC

### SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

**a. The PII is:** (Check one. Note: foreign nationals are included in general public.)

- From members of the general public  From Federal employees and/or Federal contractors  
 From both members of the general public and Federal employees and/or Federal contractors  Not Collected (if checked proceed to Section 4)

**b. The PII is in a:** (Check one)

- New DoD Information System  New Electronic Collection  
 Existing DoD Information System  Existing Electronic Collection  
 Significantly Modified DoD Information System

**c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.**

NAVSUP BSC, has established a system to provide high efficiency data analysis of information extracted from the Navy ERP SAP system. NAVSUP BSC system is comprised of a collection of COTS/GOTS software and hardware to combine business process engineering and industry best practices. The system integrates all facets of a business, using a database structured to manage shared common data. Data would be provided to end users via various business intelligence tools for business analysis. The NBIS will reduce overall operating cost to Navy components by giving them the ability to perform business analysis at a highly increased rate with lower time thresholds resulting in more efficient use of human and IT infrastructure operational times and load.

The PII collected includes name, other names used, personnel number (PRNER), legal status, gender, race/ethnicity, birth-date, personal cell telephone number, home telephone number, mailing/home address, financial information (hourly wage), disability information (disability percentage), employment information (duty location), military records (rank), emergency contact (person's name, relationship and phone number), education information (level completed), and DOD CAC, Electronic Data Interchange Personal Identifier (EDIPI).

**d. Why is the PII collected and/or what is the intended use of the PII?** (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

NBIS is offering a fully integrated means for analyzing, planning, acquiring, and managing its personnel, financial and material resources. Without the required PII, NBIS cannot accomplish this mission. For example, NBIS facilitates Navy components to quickly and efficiently analyze data for trends and anomaly's to increase efficiency and lower costs. Mission related; NBIS is compiling the data as part of a high speed data warehouse, the intended use is read only for analytic purposes to further business efficiency and cost reduction.

**e. Do individuals have the opportunity to object to the collection of their PII?**  Yes  No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The NBIS system does not collect PII from an individual. NBIS is not a system of record, nor a transactional system. Any PII contained within the NBIS Environment, namely the Pure Data for Analytics (Netezza) Appliance is compiled within the appliance data warehouse for the purpose of running business analytics and analysis. The data is pulled from various systems of record which offer the opportunity to object to collection. If the individual had opted out of PII collection on the system of record that data would not be available to NBIS.

**f. Do individuals have the opportunity to consent to the specific uses of their PII?**  Yes  No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

The NBIS system does not collect PII from an individual. NBIS collects large data files from existing Navy ERP systems for business purposes, as required. Consent would have already been given at time of collection by the system of record.

**g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided.** (Check as appropriate and provide the actual wording.)

Privacy Act Statement       Privacy Advisory       Not Applicable

The NBIS system does not collect PII from an individual.

**h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component?** (Check all that apply)

- |  |          |  |
|--|----------|--|
| <input checked="" type="checkbox"/> Within the DoD Component   | Specify. | Navy personnel with an official need to know, i.e, BI COE, ECMF, NAVSEA, ONR, SSP, SPAWAR, NAVSUP, FMO, NAVAIR and Navy ERP. |
| <input checked="" type="checkbox"/> Other DoD Components   | Specify. | Defense Finance and Accounting Service personnel with an official need to know.  |
| <input type="checkbox"/> Other Federal Agencies  | Specify. |  |
| <input type="checkbox"/> State and Local Agencies  | Specify. |  |
| <input type="checkbox"/> Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) | Specify. |  |
| <input type="checkbox"/> Other (e.g., commercial providers, colleges).   | Specify. |  |

**i. Source of the PII collected is:** (Check all that apply and list all information systems if applicable)

- |  |   |
|--|---|
| <input type="checkbox"/> Individuals                                 | <input type="checkbox"/> Databases          |
| <input checked="" type="checkbox"/> Existing DoD Information Systems | <input type="checkbox"/> Commercial Systems |
| <input type="checkbox"/> Other Federal Information Systems           |   |

Navy ERP SAP databases. Navy ERP's primary source of PII is existing data delivered through interfaces with DOD and Navy legacy systems, including:

- N07220-1. System name: : Navy Standard Integrated Personnel System (NSIPS) (November 29, 2012, 77 FR 71185)
- N01080-1. System name: Enlisted Master File Automated Systems (June 07, 2013, 78 FR 34354)
- N01080-2. System name: Officer Master File Automated Systems (November 01, 2013, 78 FR 65620)
- DPR 34 DoD. System name: Defense Civilian Personnel Data System (DCPDS), November 15, 2010, 71 FR 69642
- T7335. System name: Defense Civilian Pay System (DCPS) (March 13, 2014, 79 FR 14241)

**j. How will the information be collected?** (Check all that apply and list all Official Form Numbers if applicable)

- |   |  |
|---|--|
| <input type="checkbox"/> E-mail   | <input type="checkbox"/> Official Form (Enter Form Number(s) in the box below) |
| <input type="checkbox"/> Face-to-Face Contact                                     | <input type="checkbox"/> Paper   |
| <input type="checkbox"/> Fax  | <input type="checkbox"/> Telephone Interview                                   |
| <input checked="" type="checkbox"/> Information Sharing - System to System        | <input type="checkbox"/> Website/E-Form  |
| <input type="checkbox"/> Other (If Other, enter the information in the box below) |  |

**k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?**

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes       No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.dod.mil/Privacy/SORNs/>

or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

NBIS collects PII but never retrieves an individual's information using a unique identifier. NBIS is strictly an analytical tool.

**l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?**

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

The Disposition is TEMPORARY: Destroy when 6 months old, Disposition Authority: DAA-NU-2015-0005-0081

**m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.**

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.  
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

Federal Claims Collections Standards (31 CFR 900-904) and Chapters 28-32, Volume 5, DoD 7000-14-R, DoD Financial Management Regulation.

**n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?**

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes  No  Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.  
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."  
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

The NBIS system does not collect PII from the public. NBIS is not a system of record, nor a transactional system. Any PII contained within the NBIS Environment, namely the Pure Data for Analytics (Netezza) Appliance is compiled within the appliance data warehouse for purpose of running business analytics and analysis. The data is pulled from the various systems of record which would of offered the opportunity to object to collection. If the individual had opted out of PII collection on the system of record that data would not be available to NBIS.