PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

NAVY ENLISTED SYSTEM (NES)

2. DOD COMPONENT NAME:

Department of the Navy

3. PIA APPROVAL DATE:

04/03/19

SPAWAR - PMW 240 - PEO EIS

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: *(Check one. Note: foreign nationals are included in general public.)*

- [ ] From members of the general public
- [x] From Federal employees and/or Federal contractors
- [ ] From both members of the general public and Federal employees and/or Federal contractors
- [ ] Not Collected *(if checked proceed to Section 4)*

b. The PII is in a: *(Check one)*

- [ ] New DoD Information System
- [ ] New Electronic Collection
- [x] Existing DoD Information System
- [ ] Existing Electronic Collection
- [ ] Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The Navy Enlisted System (NES) is the Navy's corporate database of authoritative data on all enlisted active duty Navy personnel. The system generates and maintains the official automated personnel records of all United States Navy/United States Navy Reserve (USN/USNR) active duty personnel for both current and historical purposes. NES is primarily used to calculate enlisted strength, to authorize the establishment of a pay record at the Defense Finance Accounting Center, and to prepare Enlisted Distribution Verification Reports (EDVR) for distribution to field activities. The enlisted distribution and promotion process are dependent upon the quality of NES information as are numerous managerial and Congressional groups seeing aggregated information about the active enlisted population.

PII data collected: Name, SSN, citizenship, legal status, gender, race/ethnicity, birth date, birth place, security clearance, spouse information (if the spouse is in the military, SSN and branch of service is collected), marital status, child information (location of child if on base, and special needs information if applicable), military records (unique identification code 'UIC', afloat or ashore, promotion information, rates, evaluations, promotions, and demotions), education information (highest degree level, degree type, and military education; school name is not collected), and religious preference.

d. Why is the PII collected and/or what is the intended use of the PII? *(e.g., verification, identification, authentication, data matching, mission-related use, administrative use)*

Verification

e. Do individuals have the opportunity to object to the collection of their PII? [ ] Yes [x] No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

PII is not collected from the individual.

f. Do individuals have the opportunity to consent to the specific uses of their PII? [ ] Yes [x] No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

PII is not collected from the individual.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. *(Check as appropriate and provide the actual wording.)*

☐ Privacy Act Statement ☐ Privacy Advisory ☒ Not Applicable

PII is not collected from the individual.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? *(Check all that apply)*

☒ Within the DoD Component	Specify.	Navy Bureau Of Medicine and Surgery (BUMED), Chief of Naval Education and Training (CNET), Navy Manpower, Personnel, and Distribution Systems (NMPDS)
☒ Other DoD Components	Specify.	Defense Finance and Accounting Service (DFAS), Defense Manpower Data Center (DMDC)
☒ Other Federal Agencies	Specify.	National Archives Reporting Administration (NARA)
☐ State and Local Agencies	Specify.	
☐ Contractor *(Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)*	Specify.	
☐ Other *(e.g., commercial providers, colleges).*	Specify.	

i. Source of the PII collected is: *(Check all that apply and list all information systems if applicable)*

- [ ] Individuals
- [x] Existing DoD Information Systems
- [ ] Other Federal Information Systems
- [ ] Databases
- [ ] Commercial Systems

Information is obtained through authorized system interfaces within DoN applications. Specifically:
EAIS - Enlisted Assignment Information System
NHRC - Naval Health Research Center
NSIPS - Navy Standard Integrated Personnel System
NARA - National Archives and Records Administration
CeTARS - Navy's Corporate Enterprise and Training Activity Resource System
OPAS - Officer Promotion Administration System
CNA - Center for Naval Analysis
NTMPS - Navy Training Management Planning System
NMPBS - Navy Manpower, Programming and Budget System
Departmental
IMAPMIS - Inactive Manpower and Personnel Management Information
NPDB - Navy Personnel Database
OPINS - Officer Personnel Information System
ADE - Authoritative Data Environment
DJMS-AC - Defense Joint Military Pay System Active Component
CMS-ID - Career Management System/Interactive Detailing
EMPRS - Electronic Military Personnel Records System
NAVY ERP - Navy Enterprise resource Planning
DACM MIS - Director of Acquisition Career Management Information System
RCRP - Readiness And Cost Reporting Program
VAMOSC - Navy Visibility And Management of Operating and Support Costs
NTCSS - Naval Tactical Command Support System
TFFMS 2.0 - Total Force Manpower Management System
NEAS - Navy Enlisted Advancement System
OAIS II - Officer Assignment Information System
SAEM - Supplemental Administration Employee Management
NP2 - NSIPS 2

j. How will the information be collected? *(Check all that apply and list all Official Form Numbers if applicable)*

- [x] E-mail
- [x] Face-to-Face Contact
- [x] Fax
- [x] Information Sharing - System to System
- [x] Other *(If Other, enter the information in the box below)*
- [ ] Official Form (*Enter Form Number(s) in the box below*)
- [x] Paper
- [x] Telephone Interview
- [ ] Website/E-Form

Corporate owners would use online NES component to make corrections based on any of the above checked methods of communication.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- [x] Yes
- [ ] No

If "Yes," enter SORN System Identifier N01080-1

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/

or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority. N1-NU-330-04-1

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

SSIC 1000-2: PERMANENT: Cutoff upon separation of service member and transfer to the National Archives 62 years after cutoff.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statue or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

SORN N01080-1 authorities:

Title 10 U.S.C. 5013, Secretary of the Navy
Executive Order 9397 (SSN), as amended.

Other authorities:

DoDI 1336.05, Automated Extracts of Active Duty Military Personnel Records

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes ☒ No ☐ Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

DoD Manual 8910.01, Volume 2, Section 2b(1), 2b(3), 8b(7)

NES does not collect information from members of the public.