

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Navy Training Management and Planning System (NTMPS)

2. DOD COMPONENT NAME:

Department of the Navy

3. PIA APPROVAL DATE:

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SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

- | | |
|--|--|
| <input type="checkbox"/> From members of the general public | <input type="checkbox"/> From Federal employees and/or Federal contractors |
| <input checked="" type="checkbox"/> From both members of the general public and Federal employees and/or Federal contractors | <input type="checkbox"/> Not Collected (if checked proceed to Section 4) |

b. The PII is in a: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> New DoD Information System | <input type="checkbox"/> New Electronic Collection |
| <input checked="" type="checkbox"/> Existing DoD Information System | <input type="checkbox"/> Existing Electronic Collection |
| <input type="checkbox"/> Significantly Modified DoD Information System | |

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The purpose of this system is to maintain a listing of training, education, and qualifications of Department of the Navy personnel for use by Manpower, Personnel, Training and Education (MPTE) managers.

Specifically:

NTMPS is an Operational Data Store/Data Warehouse that offers training officers, manpower and personnel managers and other Fleet personnel with a one-stop shop for information concerning manpower, personnel, training and education (MPT&E) issues. NTMPS also is the Authoritative Data Environment (ADE) for MPT&E data servicing other DoN systems. NTMPS data is collected from over 40 sources, integrated and then made accessible to users. NTMPS is the authoritative source for Fleet TYCOM training requirements for recording mandatory GMT and other required Navy unit level training such as IAA, etc.

NTMPS contains several applications in addition to the core data warehouse designed to meet fleet user requirements:

- (1) Fleet Training Management and Planning System (FLTMPS) Ashore (DADMS ID 80631) is the web-based decision support system containing detailed personnel training histories and TYCOM training requirements and status. FLTMPS features nearly a thousand standard reports and limited ad hoc capability, providing Fleet users with current status of training readiness as well as access to the latest training requirements and course related information.
- (2) FLTMPS Afloat (DADMS ID 80336) is a web application that operates on afloat units running the Navy Information/Application Product Suite (NIAPS) Distance Support servers version 2.3 and later. FLTMPS Afloat provides fleet users immediate access to MPT&E data in a disconnected environment.
- (3) The Electronic Training Jacket (ETJ) Ashore (DADMS ID 80796) is a web application that allows Active Duty and Reserve Enlisted and Officers to view and print, from a single source, all documented information on their individual training and education accomplishments.
- (4) The ETJ Afloat (DADMS ID 81319) is a shipboard web application running on NIAPS Distance Support servers that allows Active Duty and Reserve Enlisted and Officers to view and print, from a single source, all documented information on their individual training and education accomplishments.
- (5) Online Account Request System (OARS) (DADMS ID 81495) is a web application which allows NTMPS users to apply for accounts to the FLTMPS, FLTMPS Afloat and EPM applications.
- (6) The Enterprise Performance Management (EPM) (DADMS ID 80485) web application is a MPT&E business Intelligence tool accessing data from the NTMPS data warehouse.
- (7) Career Tools Afloat (CTA) (DADMS ID 81621) is a shipboard web application which serves as the common access point to all Sea Warrior Afloat Programs and other applications as directed.

Servers are in New Orleans.

Type of Personnel Information: Name, Social Security Number (SSN), Employee ID (The Personnel Command PeopleSoft database generated system identification number to be used between systems passing personnel data), DoD ID number, date of birth, race/ethnicity, gender, security clearance, marital status, level of education, professional qualification and skills, training courses completed, certification received, rate/rank, status, branch of service, activity unit identification code, military records and military awards received biometrics, citizenship, home/cell phone, mailing/home address, official duty address, place of birth, work email address, law enforcement information, mother's middle/maiden name, official duty telephone, persona email address, emergency contact, legal status, medical information, religious preference. (see section 2, page 5 for details)

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Data Matching

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Information is not directly collected from the individual.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Information is not directly collected from the individual.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

Privacy Act Statement Privacy Advisory Not Applicable

Information is not directly collected from the individual.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)

- Within the DoD Component Specify.

Navy Education Training Command, Navy Personnel Command, Department of the Navy, Commanding Officers, Career Counselors.
- Other DoD Components Specify.
- Other Federal Agencies Specify.
- State and Local Agencies Specify.
- Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) Specify.

CSRA (Computer Sciences Corporation and SRA International)

52.224 - 1 - Privacy Act Notification

The Contractor will be required to design, develop, or operate a system of records on individuals, to accomplish an agency function subject to the Privacy Act of 1974, Public Law 93579, December 31, 1974 (5 U.S.C. 552a) and applicable agency regulations. Violation of the Act may involve the imposition of criminal penalties.

52.224-2 Privacy Act

FAR 39.105
- Other (e.g., commercial providers, colleges). Specify.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- Individuals Databases
 Existing DoD Information Systems Commercial Systems
 Other Federal Information Systems

Advanced Skills Management, BUPERS On Line, CAREER WAYPOINTS, Corporate enterprise Training Activity Resource System, Career Management System – Interactive Detailing, Distance Support, Department of Navy Civilian Authoritative Data Source, Defense Readiness Reporting System - Navy Enlisted Assignment Information System, Enlisted Distribution and Verification Report, Enlisted Personnel Requisition System, Enterprise Safety Applications Management System, Fleet Forces Command – Individual Augmentee, Inactive Manpower And Personnel Management Information System, Joint Knowledge Development and Distribution Capability, Joint Service Transcript, Learning Management System – Distance Learning, Military Health System Learning management system, My Navy Portal, Medical Readiness Reporting System, Naval Aviation Enterprise – Total Force, Navy Activity Status, Navy College Management Information System, Navy Department Awards Web Services, Navy Enlisted Advancement System, Navy Enlisted System – Enlisted Master File, Navy Family Accountability and Assessment System, Navy Personnel Data Base, Navy Performance Evaluation System, Navy Reserve Order Writing System, Navy Reserve Readiness Module, Non Resident Training Courses, Navy Standard Integrated Personnel System, Officer Information Support System, Officer Personnel Information System, Personalized Recruiting for Immediate and Delayed Enlistment, Physical Readiness Information Management System, Relational Administrative Data Management, Readiness and Cost Reporting Program, Reserve Headquarters Support, Submarine OnBoard Training, Total Force Manpower Management System, Total Workforce Management Services.

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- E-mail Official Form (Enter Form Number(s) in the box below)
 Face-to-Face Contact Paper
 Fax Telephone Interview
 Information Sharing - System to System Website/E-Form
 Other (If Other, enter the information in the box below)

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpclid.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

Updated SORN was submitted to DPCLTD on 25Jan2018

i. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

Maintained on a needed-to-know basis for informational purposes. Destroy when superseded or cancelled. (SSIC: 5314)

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
 - (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
 - (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
 - (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

SORN NM01500-10, Navy Training Management and Planning System (NTMPS) (June 28,2012, 77 FR 38608), authorities:

110 U.S.C 5013, Secretary of the Navy; July 2008 NAVADMIN 203/08 Combating Trafficking in Persons (CTIP) Training Policy update: DODI 8500.2, Information Assurance Implementation; OPNAVINST 5351.2, Enlisted Navy Leadership Development (NAVLEAD); April 2009 NAVADMIN 114/09 Trafficking in Personnel Policy Update: OPNAVINST 1500.22F, United States Navy Personnel Financial Management (PFM) Education, Training, and Counseling Program; and E.O9397(SSN), as amended.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

NTMPS does not collect PII directly from the individual.