PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Authoritative Data Environment 2.0 (ADE 2.0)

2. DOD COMPONENT NAME:

Department of the Navy

SPAWAR PEO EIS (PMW 240)

3. PIA APPROVAL DATE:

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: *(Check one. Note: foreign nationals are included in general public.)*

- [ ] From members of the general public
- [ ] From Federal employees and/or Federal contractors
- [x] From both members of the general public and Federal employees and/or Federal contractors
- [ ] Not Collected *(if checked proceed to Section 4)*

b. The PII is in a: *(Check one)*

- [x] New DoD Information System
- [ ] New Electronic Collection
- [ ] Existing DoD Information System
- [ ] Existing Electronic Collection
- [ ] Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The purpose of ADE is to integrate data through secure transfers from Navy approved data sources. It integrates Manpower, Personnel, Training and Education (MPTE) data from approved data sources, satisfying a wide variety of MPTE needs throughout the Navy. ADE supplies data for applications and standard reports supporting fleet management of fleet training requirements, quota allocations, and manning and training readiness. The data includes detailed records of individual formal and informal training, qualifications, certifications, licenses, skills, and education. ADE contains data for active duty and reserve naval personnel, civilian personnel and limited contractor personnel, as well as current and historical data, web/data services feeding other Navy applications requiring MPTE data. It serves as an authoritative data source for Type Commander training requirements and FIT metrics. Overall, it supports an enterprise-wide reporting, analytic, modeling and simulation.

Types of personal information collected in the system: name, other names used, Social Security Number (SSN), date of birth, place of birth, gender, citizenship, race/ethnicity, legal status, personal cell telephone number, home telephone number, personal email address, mailing/ home address, religious preference, mother's maiden name, spouse information (name, current address, birth date, Social Security Number (SSN), phone number, and place of employment), marital status, biometrics (digital photograph and fingerprints), child information (name, current address, birth date, Social Security Number (SSN)), medical information (past and present records of medical conditions), disability information (documentation of the reason and status of any disability determinations), law enforcement information (records checks on all past law violations), employment information (past and present employer's names, addresses and contact information, periods of employment for each employer, professional qualifications and skills) military records, emergency contact (name, address, and contact information listed in member's record of emergency contacts), education information (name, address, and phone number of all institutions where education was obtained, time periods of attendance, transcripts), military information (all relevant data elements required to show an accurate and complete service record for a member), training courses completed, certifications received, level of education, military awards received, duty assignments, language skills, security clearance information (code, eligibility, date granted, agency granting, investigation completion date and investigation type code), rate/rank, status, branch of service, activity Unit Identification Code (UIC), Employee ID (The Personnel Command PeopleSoft database generated system identification number to be used between systems passing personnel data), and CAC ID/Department of Defense Electronic Data Interchange Person Identifier, DoD ID.

d. Why is the PII collected and/or what is the intended use of the PII? *(e.g., verification, identification, authentication, data matching, mission-related use, administrative use)*

Verification, identification, authentication, data matching, and administrative use

e. Do individuals have the opportunity to object to the collection of their PII? [ ] Yes [x] No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Information not collected directly from the individual.

f. Do individuals have the opportunity to consent to the specific uses of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Information not collected directly from the individual.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. *(Check as appropriate and provide the actual wording.)*

☐ Privacy Act Statement ☐ Privacy Advisory ☒ Not Applicable

Information not collected directly from the individual.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? *(Check all that apply)*

☒ Within the DoD Component	Specify.	Navy Manpower, Personnel, Training and Education (MPTE) managers, Commander Navy Personnel Command, BUPERS
☒ Other DoD Components	Specify.	DMDC, MEPCOM
☐ Other Federal Agencies	Specify.	
☐ State and Local Agencies	Specify.	
☒ Contractor *(Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)*	Specify.	SRA International, INC As stated Section 6.3 Data Protection in the SRA International, INC Performance Work Statement for the Indefinite Delivery/Indefinite Quantity (ID/IQ) Contract N00039-14-D-0001: "The Contractor shall comply with the DON Privacy program per SECNAVINST 5211.5E. The Contractor shall ensure all categories of sensitive information, including Pll, are secured and in compliance with all IA Controls from the DoDI 8500.2, specifically IA Controls DCFA-1 and DCSR-2. Compliance includes the encryption of "data in transit" and "data at rest" as required by the data owner. The Contractor shall comply with DON CIO MSG DTG 1719522 APR 07 to ensure that all Personally Identifiable Information (Pll) is properly safeguarded. The requirement under the E-Government Act of 2002, mandates that all Pll be protected. In addition, systems processing Pll must have completed a Privacy Impact Assessment (PIA) and register that PIA with DON CIO. The Contractor shall provide controlled access to prevent unauthorized access to DoD systems and information using identification and authentication as well as encryption." The FAR Privacy Clauses were confirmed to be in the SRA contract.
☐ Other *(e.g., commercial providers, colleges).*	Specify.	

i. Source of the PII collected is: *(Check all that apply and list all information systems if applicable)*

- [ ] Individuals
- [x] Existing DoD Information Systems
- [ ] Other Federal Information Systems
- [ ] Databases
- [ ] Commercial Systems

Existing DoD information systems: Enlisted Master File, Officer Master File, Student/Smart/VLS Records, Navy College Management Information System, Advanced Skills Management (ASM) System, Integrated Learning Environment (ILE) classes, Department of Navy (DON) Education and Training Records, Navy Standard Integrated Personnel System (NSIPS), Enterprise Safety Applications Management System (ESAMS), and Navy Recruit Tools (RTOOLS).

j. How will the information be collected? *(Check all that apply and list all Official Form Numbers if applicable)*

- [ ] E-mail
- [ ] Face-to-Face Contact
- [ ] Fax
- [x] Information Sharing - System to System
- [ ] Other *(If Other, enter the information in the box below)*
- [ ] Official Form (*Enter Form Number(s) in the box below)*
- [ ] Paper
- [ ] Telephone Interview
- [ ] Website/E-Form

ADE is in development of its own unique SORN, N05310-1. Once the SORN has been published to the federal registry it will replace the following list:
DMDC 02, DMDC 12, My Navy Portal (MNP)
DPR 39 DoD, Navy Manpower Program and Budget System (NMPBS)
FR 71803, Defense Readiness Reporting System - Navy (DRRS-N)
N01080-1, 2, 3, Navy Departmental Systems
N01133-2, Personalized Recruiting for Immediate and Delayed Enlistment Modernization (PRIDE MOD)
N01500-3, Student/Smart/VLS Records
N01571-1, Navy Reserve Order Writing System (NROWS)
N01754-4, Navy Family Accountability and Assessment System (NFAAS)
N03501-3, Readiness and Cost Reporting Program (RCRP)
N05230-1, Total Workforce Management Services (TWMS)
N06110-1, Physical Readiness Information Management System (PRIMS)
N07220-1, Navy Standard Integrated Personnel System (NSIPS)
NM01500-2, Department of the Navy (DON) Education and Training Records
NM01500-3, Advanced Skills Management (ASM) System
NM01500-9, Integrated Learning Environment (ILE) Classes
NM01500-10, Navy Training Management and Planning System (NTMPS)
NM01560-1, Navy College Management Information System (NCMIS)
NM01650-1, Navy Department Awards Web Service (NDAWS)
NM05000-2, BUPERS On Line (BOL)
NM05100-5, Enterprise Safety Applications Management System (ESAMS)
NM06150-6, Medical Readiness Reporting System (MRRS)

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- [x] Yes
- [ ] No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/

or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

SORN is in the approval process with DNS-36; submitted 27 September 2017

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority. DAL-NU-2008-0003-000

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

Permanent. Cuttoff at end of calendar year. Transfer to the national archives 30 years after cutoff.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statue or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

10 U.S.C. 5013, Secretary of the Navy
10 U.S.C. 5041, Headquarters, Marine Corps function, composition
10 U.S.C. 5042, Headquarters, Marine Corps
14 U.S.C. 93, Commandant, U.S. Coast Guard General Powers
5 U.S.C. 301, Departmental Regulations
5 U.S.C. 41 4101-4105, 4112, 4115- 4118, the Government Employees Training Act of 1958
Chief of Naval Operations Instructions OPNAVINST 1070.2 Series, Automated Extracts of Active Duty Military Personnel Records
Department of Defense Instructions DoDI 1336.08, Military Human Resource Records Life Cycle Management
DoD Instruction 6055.7, Accident Investigation, Reporting, and Record Keeping
DoDI 1336.05, Automated Extract of Active Duty Military Personnel Records
DoDI 7730.54, Reserve Components Common Personnel Data System (RCCPDS)
E.O. 12196, Occupational Safety and Health Programs for Federal Employees
E.O. 9397 (SSN), as amended.
MCO 1580.7E Schools Inter-service Training

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes ☒ No ☐ Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

System does not collect data directly from members of the public. Spouse/child data provided by PRIDE MOD (OMB Number: 0703-0062, expiration date: 04-30-2018).