



PRIVACY IMPACT ASSESSMENT (PIA)

For the

Embedded Platform Logistics System (EPLS)

United States Marine Corps

SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

- New DoD Information System
- Existing DoD Information System
- Significantly Modified DoD Information System
- New Electronic Collection
- Existing Electronic Collection

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

- Yes, DITPR Enter DITPR System Identification Number
- Yes, SIPRNET Enter SIPRNET Identification Number
- No

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

- Yes
- No

If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes
- No

If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

Date of submission for approval to Defense Privacy Office

Consult the Component Privacy Office for this date.

e. Does this DoD information system or electronic collection have an OMB Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes

Enter OMB Control Number

Enter Expiration Date

No

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

Authority for the Embedded Platform Logistics System (EPLS) to use Personally Identifiable Information for embarked service members is granted by:

- Executive Order 9397 of 23 November 1943, allows a federal department to utilize Social Security Numbers as account numbers for individual persons;
- Title 10 US Code 5013, Secretary of the Navy;
- Title 10 US Code 5041, Headquarters, Marine Corps;
- Title 5 US Code 301, Departmental Regulations;
- DoD 4500.9-R, Defense Transportation Regulation.

EPLS is a DoD system with requirements documented in a Statement of Need issued by United States Marine Corps Deputy Commandant for Command Development and Integration, document number 3900 dated September 11, 2006. EPLS has a requirement to provide the vehicle operator with the ability to manually or automatically track manifest information. EPLS provides a passenger manifest system on tactical vehicles to replace the paper-based process for tracking embarked and debarked passengers.

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

EPLS provides a passenger manifest system on tactical vehicles to replace the previous paper-based process for tracking embarked and debarked passengers. Personal Information which can be stored in the vehicle-mounted information system includes First and Last Name, last four of Social Security Number, Rank, Service, and Emergency Contact Name and Phone Number. An entry can be created with only First and Last name and last four of Social Security Number. This system is used at vehicle operator discretion as an alternative to paper-based manifest creation using standard form DD FORM 2131, SEP 2005.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

Some risks associated with collection of Personally Identifiable Information in this system include:
- Manual entry of information can result in poor data quality. This risk is reduced if identification scanning devices are used. Only First and Last Name and the last four digits of the Social Security Number are retrieved from the Common Access Card.
- The system maintains a record of individuals who were transported on a vehicle equipped with EPLS. Records are maintained on the vehicle and transferred to a network accessible storage device. The personally identifiable information can be removed from the vehicle records by the vehicle operator. Access to the network hosted data is restricted to authorized users with system access privileges.
- Information retained in the system may be viewed in the case of a vehicle accident or if the vehicle is attacked or destroyed. In this event, risk of false identification of passengers is minimal as this system will not be the sole source of personnel tracking and identification.
Data collected is safeguarded with physical security measures and only authorized users can access the system. The system is accredited in accordance with DoDI 8500.2.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

Within the DoD Component.

Specify.

Other DoD Components.

Specify.

Other Federal Agencies.

Specify.

State and Local Agencies.

Specify.

Contractor (Enter name and describe the language in the contract that safeguards PII.)

Specify.

Other (e.g., commercial providers, colleges).

Specify.

i. Do individuals have the opportunity to object to the collection of their PII?

Yes No

(1) If "Yes," describe method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object.

Individuals are required to provide First and Last Name and last four of their Social Security Number prior to embarking on a military vehicle.

j. Do individuals have the opportunity to consent to the specific uses of their PII?

Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Individuals are required to provide First and Last Name and the last four digits of their Social Security Number prior to embarking on a military vehicle. All information collected in the system is stored on the vehicle and transferred to a database. Information is retained on the vehicle until deleted and can be accessed by the vehicle operator as needed without individual consent. Information in the database can also be accessed by authorized personnel without the individual's consent.

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

- Privacy Act Statement Privacy Advisory
 Other None

Describe each applicable format.

Collected PII is the absolute minimum data needed in support of normal military operations.

NOTE:

Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.

A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.