



## PRIVACY IMPACT ASSESSMENT (PIA)

For the

NAF\_NEXCOM SYSTEM (NAF\_NXS)  
Navy and Marine Corp Exchange Sales Control and Security Files (APIS)  
eMass #7805

Department of the Navy - NAVSUP - NEXCOM

### SECTION 1: IS A PIA REQUIRED?

**a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).**

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel\* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

\* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

**b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.**

**c. If "Yes," then a PIA is required. Proceed to Section 2.**

**SECTION 2: PIA SUMMARY INFORMATION**

**a. Why is this PIA being created or updated? Choose one:**

- New DoD Information System
- Existing DoD Information System
- Significantly Modified DoD Information System
- New Electronic Collection
- Existing Electronic Collection

**b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?**

- Yes, DITPR** Enter DITPR System Identification Number
- Yes, SIPRNET** Enter SIPRNET Identification Number
- No**

**c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?**

- Yes**
- No**

If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

**d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?**

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes**
- No**

If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.  
Consult the Component Privacy Office for additional information or  
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

**Date of submission for approval to Defense Privacy Office**

Consult the Component Privacy Office for this date.

**e. Does this DoD information system or electronic collection have an OMB Control Number?**

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

**Yes**

**Enter OMB Control Number**

Pending submission to OMB

**Enter Expiration Date**

**No**

**f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.**

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

SORN NM04060-1:

10 U.S.C. 5013, Secretary of the Navy  
10 U.S.C. 5041, Headquarters, Marine Corps  
E.O. 9397 (SSN), as amended.

SORN N04066-1:

5 U.S.C. 301, Departmental Regulations  
5 U.S.C. 5013, Secretary of the Navy  
10 U.S.C. 6011  
31 CFR 285.11, Administrative Wage Garnishment  
Federal Claims Collection Act of 1966 (Pub.L. 89-508)  
Debt Collection Act of 1982 (Pub.L. 97-365)  
E.O. 9397 (SSN), as amended.

SORN N04066-5:

5 U.S.C. 301, Departmental Regulations  
10 U.S.C. 6011

E.O. 9397 (SSN), as amended.

SORN N04066-6:

5 U.S.C. 301, Departmental Regulations  
29 U.S.C. 201  
29 U.S.C. 633a  
29 U.S.C. 791 and 794a  
Pub.L. 93-259, Equal Employment Act of 1972  
E.O. 9397 (SSN), as amended.

SORN DMDC 02 DoD:

5 U.S.C. App. 3, Inspector General Act of 1978  
5 U.S.C. Chapter 90, Federal Long-Term Care Insurance  
10 U.S.C. 136, Under Secretary of Defense for Personnel and Readiness  
10 U.S.C. Chapter 53, Miscellaneous Rights and Benefits  
10 U.S.C. Chapter 54, Commissary and Exchange Benefits  
10 U.S.C. Chapter 55 Medical and Dental Care  
10 U.S.C. Chapter 58, Benefits and Services for Members being Separated or Recently Separated  
10 U.S.C. Chapter 75, Deceased Personnel  
10 U.S.C. 2358, Research and Development Projects  
20 U.S.C. 1070a (f)(4), Higher Education Opportunity Act  
31 U.S.C. 3512(c), Executive Agency Accounting and Other Financial Management  
42 U.S.C. 18001 note, Patient Protection and Affordable Care Act (Public Law 111-148)  
42 U.S.C. 1973ff, Federal Responsibilities  
50 U.S.C. Chapter 23, Internal Security  
DoD Directive 1000.04, Federal Voting Assistance Program (FVAP)  
DoD Instruction 1100.13, Surveys of DoD Personnel  
DoD Instruction 1341.2, DEERS Procedures  
DoD Instruction 3001.02, Personnel Accountability in Conjunction with Natural or Manmade Disasters  
Homeland Security Presidential Directive 12, Policy for a Common Identification Standard for Federal Employees and Contractors  
38 CFR part 9.20, Traumatic injury protection  
38 U.S.C. Chapter 19, Subchapter III, Service members' Group Life Insurance  
42 U.S.C. 18001 note, Patient Protection and Affordable Care Act (Public Law 111-148)  
E.O. 9397 (SSN), as amended.

**g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.**

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

The systems primary purpose is to record/track Navy Exchange (NEX) Loss Prevention/Safety administrative investigations, cases, workers compensation, property/general liability claims and customer/employee point of sale transaction information in order to identify abuse of privileges and determine responsibility where there are violations of regulations or criminal statutes.

Personal information collected: Name, other names used, SSN, DoD ID number, driver's license number, other ID number, citizenship, legal status, gender, race/ethnicity, birth date, place of birth, personal cell telephone number, home telephone number, personal email address, mailing/home address, marital status, military records, emergency contact, spouse information: name and date of birth; child information: number of children, name and date of birth; employment information: employment history and branch of service; education information: level of education and type of degree.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

Access is controlled through physical security measures, unique user names/passwords and user activity is recorded in system history files.

**h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)?** Indicate all that apply.

**Within the DoD Component.**

Specify.

**Other DoD Components.**

Specify.

**Other Federal Agencies.**

Specify.

**State and Local Agencies.**

Specify.

**Contractor** (Enter name and describe the language in the contract that safeguards PII.)

Specify.

**Other** (e.g., commercial providers, colleges).

Specify.

**i. Do individuals have the opportunity to object to the collection of their PII?**

**Yes**  **No**

(1) If "Yes," describe method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object.

Investigative material compiled for law enforcement purposes may be exempt pursuant to 5 U.S.C 552a (k) (2). However, if an individual is denied any right, privilege, or benefit for which he would otherwise be entitled by Federal law or for which he would otherwise be eligible, as a result of the maintenance of such information, the individual will be provided access to such information except to the extent that disclosure would reveal the identity of a confidential source.

An exemption rule for this system has been promulgated in accordance with the requirements of 5 U.S.C. 553 (b) (1), (2), and (3), (c) and (e) and published in 32 CFR part 701, subpart G. For additional information, contact the system manager.

**j. Do individuals have the opportunity to consent to the specific uses of their PII?**

Yes                       No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Investigative material compiled for law enforcement purposes may be exempt pursuant to 5 U.S.C 552a (k) (2). However, if an individual is denied any right, privilege, or benefit for which he would otherwise be entitled by Federal law or for which he would otherwise be eligible, as a result of the maintenance of such information, the individual will be provided access to such information except to the extent that disclosure would reveal the identity of a confidential source.

An exemption rule for this system has been promulgated in accordance with the requirements of 5 U.S.C. 553 (b) (1), (2), and (3), (c) and (e) and published in 32 CFR part 701, subpart G. For additional information, contact the system manager.

**k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.**

**Privacy Act Statement**                       **Privacy Advisory**  
 **Other**     **None**

Describe The PAS is posted in a room where information is gathered verbally through investigation interviews.

each  
applicable  
format.



**NOTE:**

**Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.**

**A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.**