



PRIVACY IMPACT ASSESSMENT (PIA)

For the

Navy Family Accountability and Assessment System (NFAAS)

Department of the Navy - CNIC

SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

- New DoD Information System
- Existing DoD Information System
- Significantly Modified DoD Information System
- New Electronic Collection
- Existing Electronic Collection

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

- Yes, DITPR** Enter DITPR System Identification Number
- Yes, SIPRNET** Enter SIPRNET Identification Number
- No**

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

- Yes**
- No**

If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes**
- No**

If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

Date of submission for approval to Defense Privacy Office
Consult the Component Privacy Office for this date.

e. Does this DoD information system or electronic collection have an OMB Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes

Enter OMB Control Number

Enter Expiration Date

No

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

SORN Authorities:

10 U.S.C. 5013, Secretary of the Navy;
10 U.S.C. 136, Under Secretary of Defense for Personnel and Readiness;
DoD Instruction 3001.02, Personnel Accountability in Conjunction with Natural Disasters or National Emergencies;
OPNAVINST 3006.1, Personnel Accountability in Conjunction with Catastrophic Events;
SECNAV Instruction 1754.5B, Exceptional Family Member Program;
and E.O. 9397 (SSN), as amended.

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

NFAAS is a web-based system for accounting for personnel (active duty, reserve, family members and civilian personnel) following a natural or man-made disaster. NFAAS captures accounting status, contact and location information. NFAAS is also used to assess the impact of the disaster on Navy affiliated personnel and provide support to help families return to a steady state. NFAAS is used on a regular basis to track contact and data on sailors on Individual Augmentation orders.

PII collected: Name, SSN (full and truncated), DoD ID number, gender, birth date, personal cell telephone number, home telephone number, personal email address, mailing/home address, DoD affiliation, branch of service, military status, rank/rate, duty station, name of sponsor, sponsor SSN; Spouse/child information: Name, Relationship, DOB, Address, phone, email, number of children; Medical Information: medical history, illness/diagnosis, and medical treatment; Education Information: current grade level, provider/school name, school district, provider/school address, provider/school office/fax numbers; Emergency contact information: Name, Relationship (type in, optional), Address, phone(home, cell, work,) email;

Surveys are to include the date of assessment, the type of event and category classification, contacts with the military family, and a Federal Emergency Management Agency (FEMA) Number (if issued) .

Individual augmentation deployment records include post deployment health assessments (PDHA) dates, dates of deployment, and contacts with the service member or contractor and family.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

Loss, theft, or misuse of personally identifiable information by unauthorized persons. Security perimeter protections (firewall, intrusion detection, router access control list, etc.) provided by the hosting enclave. Additionally, strict access control policies and procedures are implemented to ensure is restricted only to those individuals with a need-to-know through role based access schema. SSN is never displayed or exported to reports.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

Within the DoD Component.

Specify.

Other DoD Components.

Specify.

Other Federal Agencies.

Specify.

State and Local Agencies.

Specify.

Contractor (Enter name and describe the language in the contract that safeguards PII.)

Specify.

Other (e.g., commercial providers, colleges).

Specify.

i. Do individuals have the opportunity to object to the collection of their PII?

Yes

No

(1) If "Yes," describe method by which individuals can object to the collection of PII.

By not entering their information in NFAAS. They will not be able to be accounted for following a disaster; nor the tracking of needs that are caused by a disastrous event; not be able to be tracked (if Active Duty or Reservist) as being enrolled in the Exceptional Family Member Program.

(2) If "No," state the reason why individuals cannot object.

j. Do individuals have the opportunity to consent to the specific uses of their PII?

Yes

No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

If the individual enters their information into NFAAS they are giving consent.

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

- | | |
|--|---|
| <input checked="" type="checkbox"/> Privacy Act Statement | <input checked="" type="checkbox"/> Privacy Advisory |
| <input type="checkbox"/> Other | <input type="checkbox"/> None |

Describe each applicable format.

The Privacy Act Statement is presented to them on the initial screen (Login Page) that they visit on the site.

Privacy Act Statement

Authority: Title 10 U.S.C. 8013 and DODI 3001.02

Purpose: To provide a means of positive identification for the purpose of processing applications or retrieving data.

Routine Uses: None

Disclosure: Voluntary. Failure to provide the requested information may result in a delay or termination of your request.

Privacy Act Information is not shared from this system to any other system or user. SSN and DOB information entered into NFAAS is encrypted and compared with SSN and DOB information residing in Defense Manpower Data Center (DMDC), an Authoritative Data Source for the Department of Defense. SSN and DOB are not displayed in NFAAS in any form and are not used for any other purpose than U.S Navy-approved personnel accountability.

Privacy and Security Notice

Navy Family Accountability and Assessment System (NFAAS) is committed to protecting your privacy. Therefore, your use and implementation of the information and information request forms included in this Web site are covered under the following guidelines.

1. The Navy Family Accountability and Assessment System (NFAAS) is provided as a public service by the Department of the Navy
2. Information presented on the NFAAS site is considered FOUO information and may not be distributed or copied. Use of appropriate byline/photo/image credits is requested. Certain photos and/or artwork (where credited) remain the property of the copyright owner and may not be reproduced except by permission.
3. Privacy Act Notice: Disclosure of any information by you is strictly voluntary. However, delays in providing your requested materials may result by not providing complete information. All information collected will be used strictly to support individual readiness for deployment in support of the Global War on Terrorism; to account for Navy personnel and their families and to provide access to disaster-related support services. The authority for the collection of this information is listed in the Department of Defense Privacy Act Systems of Record Notice A0500-3 DCS G-1 dated 25 July 2008. more
4. For site management, information is collected for statistical purposes. This government computer system uses software programs to create summary statistics, which are used for such purposes as assessing what information is of most and least interest, determining technical design specifications, and identifying system performance or problem areas.
5. For site security purposes and to ensure that this service remains available to all users, this government computer system employs software programs to monitor network traffic to identify unauthorized attempts to upload or change information, or otherwise cause damage.
6. Except for authorized law enforcement investigations, no other attempts are made to identify

individual users or their usage habits. Raw data logs are used for no other purposes and are scheduled for regular destruction in accordance with National Archives and Records Administration General Schedule 20.

7. For site navigation purposes only, cookies are used in a limited manner. Cookies are pieces of information that a Web site transfers to your computer's hard disk for record-keeping purposes. Cookies can make the Web more useful by storing information about your preferences on a particular site. The use of cookies is an industry standard, and many major Web sites use them to provide useful features for their customers. Cookies in and of themselves do not personally identify users, although they do identify a user's computer. Most browsers are initially set up to accept cookies. If you'd prefer, you can set yours to refuse cookies. However, you may not be able to take full advantage of a Web site if you do so.

8. Unauthorized attempts to upload information or change information on this service are strictly prohibited and may be punishable under the Computer Fraud and Abuse Act of 1986 and the National Information Infrastructure Protection Act.

9. Penalty for False Statements. The US Criminal Code (Title 18 US Code Section 1001) provides that whoever, in any matter within the jurisdiction of the Government of the United States, knowingly and willfully - (1) falsifies, conceals, or covers up by any trick, scheme, or device a material fact; (2) makes any materially false, fictitious, or fraudulent statement or representation; or (3) makes or uses any false writing or document knowing the same to contain any materially false, fictitious, or fraudulent statement or entry; shall be fined or imprisoned not more than 5 years, or both.

Cookie Disclaimer. NFAAS does not use persistent cookies, i.e., tokens that pass information back and forth from your machine to the server and remain after you close your browser. NFAAS does use session cookies, i.e., tokens that remain active only until you close your browser, in order to make this site easier for you to use and to operate the Single Sign On (SSO) authentication and authorization services. No database of information obtained from these cookies is kept and when you close your browser, the cookie is deleted from your computer. NFAAS uses cookies in the following ways:

- * Establish authentication and authorization to the NFAAS and various applications designated to the user;
- * Provide personalized theme and content;
- * Monitor account activity and idle times.

If you choose not to accept cookies the Navy Family Accountability and Assessment System will not function properly, and you will be required to re-authenticate multiple times. The help information in your browser software should provide you with instruction on how to enable cookies.

NOTE:

Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.

A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.