PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Navy Departmental Systems (DEPARTMENTAL)

2. DOD COMPONENT NAME:

Department of the Navy

3. PIA APPROVAL DATE:

08/28/18

SPAWAR - PMW 240 - PEOEIS

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

☐ From members of the general public

X From Federal employees and/or Federal contractors

☐ From both members of the general public and Federal employees and/or Federal contractors

☐ Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one)

☐ New DoD Information System

☐ New Electronic Collection

☐ Existing DoD Information System

X Existing Electronic Collection

☐ Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The primary objective of the DEPARTMENTAL is to support Selection Boards for both Active and Inactive enlisted and officer personnel in the Navy. DEPARTMENTAL includes the following:

- Enlisted Evaluation Reporting System (EVAL)
- Fitness Reporting System (FRS)
- Medals and Awards System (M&A)
- Navy Performance Evaluation System (NPES)
- Officer Enlisted Summary Record (OESR)

The Selection Board systems provide Pers-8 and Pers-3 with automated tools for creating, organizing, and maintaining Selection Board Eligibility files and producing printed reports in various sort sequences. DEPARTMENTAL encompasses software included in the current production baseline as well as in-house utilities developed to support the continuing operation and maintenance of these systems. The software is used for creating, storing, and printing reports at different phases of board processing, including pre-board and post-board processing.

PII data collected: Name, SSN, Citizenship, Legal Status, Gender, Race/Ethnicity, Birth Date, Place of Birth, Religious Preference, Security Clearance, Spouse Information, Marital Status, Medical Information, Disability Information, Employment Information, Military Records, Education Information.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

Support Navy Enlisted and Officer Promotion Boards. Populate corporate systems with Performance Appraisal data, which is distributed to other Navy Personnel systems. This is done through collecting PII for verification, identification, authentication, and data matching.

Mission-related use: Support Navy Enlisted and Officer Promotion Boards.

e. Do individuals have the opportunity to object to the collection of their PII? ☐ Yes X No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.
Departmental does not collect information directly from the individual. The collected data that is stored in these systems is required by United States Code, Department of Defense Directives, Department of Defense Instructions, and/or NAVADMIN.

<table>
<thead>
<tr>
<th>Departmental does not collect information directly from the individual.</th>
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**f. Do individuals have the opportunity to consent to the specific uses of their PII?**

[ ] Yes [x] No

1. If "Yes," describe the method by which individuals can give or withhold their consent.
2. If "No," state the reason why individuals cannot give or withhold their consent.

**g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided.** (Check as appropriate and provide the actual wording.)

[ ] Privacy Act Statement [ ] Privacy Advisory [x] Not Applicable

**h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component?** (Check all that apply)

- [x] Within the DoD Component Specify. SSC Atlantic, SSC Pacific, SPAWAR HQ, NPC
- [ ] Other DoD Components Specify.
- [ ] Other Federal Agencies Specify.
- [ ] State and Local Agencies Specify.

Contractor name: aVenture Technologies, LLC
Contract Number: N65236-13-D-4940 Task Order 0004
aVenture Technologies, LLC,
52.204-21 Basic Safeguarding of Covered Contractor Information Systems
52.224 - 1 - Privacy Act Notification
The Contractor will be required to design, develop, or operate a system of records on individuals, to accomplish an agency function subject to the Privacy Act of 1974, Public Law 93-579, December 31, 1974 (5 U.S.C. 552a) and applicable agency regulations. Violation of the Act may involve the imposition of criminal penalties.

52.224 - 2 - Privacy Act
(a) The Contractor agrees to
(1) Comply with the Privacy Act of 1974 (the Act) and the agency rules and regulations issued under the Act in the design, development, or operation of any system of records on individuals to accomplish an agency function when the contract specifically identifies
(i) The systems of records; and (ii) The design, development, or operation work that the contractor is to perform;
(2) Include the Privacy Act notification contained in this contract in every solicitation and resulting subcontract and in every subcontract awarded without a solicitation, when the work statement in the proposed subcontract requires the design, development, or operation of a system of records on individuals that is subject to the Act;
(3) Include this clause, including this subparagraph (3), in all subcontracts awarded under this contract which requires the design, development, or operation of such a system of records.
(b) In the event of violations of the Act, a civil action may be brought against the agency involved when the violation involves the operation of a system of records.
Other (e.g., commercial providers, colleges).

Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- Individuals
- Databases
- Commercial Systems
- Existing DoD Information Systems
- Other Federal Information Systems

Existing DoD Information Systems: Navy Standard Integrated Personnel System (NSIPS), Officer Assignment Information System II (OAIS II), Enlisted Assignment Information System (EAIS), Navy Enlisted System (NES), Electronic Military Personnel Records System (EMPRS), Navy Personnel Database (NPDB), and Officer Personnel Information System (OPINS)

How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- E-mail
- Face-to-Face Contact
- Fax
- Information Sharing - System to System
- Other (If Other, enter the information in the box below)

Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

- Yes
- No

If "Yes," enter SORN System Identifier

N01080-1; N01080-2; N07220-1

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/ or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

System does not contain record DATA.
m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

| SORN N01080-1, Enlisted Master File Automated Systems (June 07, 2013, 78 FR 34354), authorities: |
| 10 U.S.C. 5013, Secretary of the Navy; Department of Defense Instructions DoDI 1336.08, Military Human Resource Records Life Cycle Management; DoDI 1336.05, Automated Extract of Active Duty Military Personnel Records; DoDI 7730.54, Reserve Components Common Personnel Data System (RCCPDS); Chief of Naval Operations Instructions OPNAVINST 1070.2 Series, Automated Extracts of Active Duty Military Personnel Records; and OPNAVINST 1001.19 Series, Reserve Components Common Personnel Data System (RCCPDS); and E.O. 9397 (SSN), as amended. |

| SORN N01080-2, Officer Master File Automated Systems (November 01, 2013, 78 FR 65620), authorities: |
| 10 U.S.C. 5013, Secretary of the Navy and E.O. 9397 (SSN) |

| SORN N07220-1, Navy Standard Integrated Personnel System (NSIPS) (November 29, 2012, 77 FR 71185), authorities: |
| 10 U.S.C. 5013, Secretary of the Navy and E.O. 9397 (SSN), as amended. |

Additional authorities:
- SSAA for the Personnel Systems (PERSYS) Applications of 26 Oct 06
- SSAA for the MPN Financial Systems (MFS) Applications of 26 Oct 06
- SSAA for the Commander, Navy Recruiting Command (CNRC) Applications of 26 Oct 06
- DECC Mechanicsburg Mainframe Assets List of 28 Nov 06
- CDB-DECC Mechanicsburg DISA VMS VC03 Severity Summary Report of 26 Oct 06

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes  ☒ No  ☐ Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

DEPARTMENTAL does not collect on members of the public.