



DEPARTMENT OF THE NAVY
CHIEF INFORMATION OFFICER
1000 NAVY PENTAGON
WASHINGTON DC 20350-1000

06 May 2010

MEMORANDUM FOR DISTRIBUTION

Subj: DEPARTMENT OF THE NAVY NON-TIER REVIEW DISPOSITIONS

Ref: (a) DON CIO memo, Department of the Navy Information Technology Policy Guidance for Fiscal year 2010, of 29 Sep 09
(b) DON CIO memo, Department of the Navy Information Management (IM)/ Information Technology (IT) Investment Review Process Guidance, of 01 Oct 09
(c) SECNAVINST 5230.14, Information Technology Portfolio Management Implementation, of 09 Nov 09

The purpose of this memorandum is to provide clarification and policy associated with Department of the Navy (DON) Non-Tier Reviews, as required by reference (a), as described in reference (b), and in support of effective implementation of reference (c).

Reference (a) requires that DON Business Mission Area (BMA) and Enterprise Information Environment Mission Area (EIEMA) systems and initiatives planning to obligate Development or Modernization (dev/mod) funding not do so until they have received required certifications. As described in reference (b), approval authority for these certifications is dependent on the amount of dev/mod funding associated with the particular system or initiative modernization.

Reference (a) also requires that DON BMA and EIEMA systems and initiatives not obligating any dev/mod funding undergo a Non-Tier Review prior to 15 May of each year. In accordance with reference (b), Non-Tier Reviews are submitted by the system or initiative's Program Manager and are given a disposition by the applicable Functional Area Manager (FAM) and DON Deputy Chief Information Officer (DDCIO) for Navy or Marine Corps, as appropriate.

As the final decision authorities for Non-Tier Reviews, DDCIOs will invoke a disposition of either "Reviewed/Accepted" or "Reviewed/Rejected." As part of their reviews, FAMs will provide recommended dispositions to the applicable DDCIO. FAMs and DDCIOs may use the interim disposition of "insufficient info" to return review requests to the submitting Program Manager for correction of inaccurate and or incomplete data.

A disposition of "Reviewed/Rejected" should be invoked when a system or initiative is not aligned or not in compliance with existing statute, policy, departmental strategy, or the DON Enterprise Architecture, and only after all attempts to correct the deficiency have failed.

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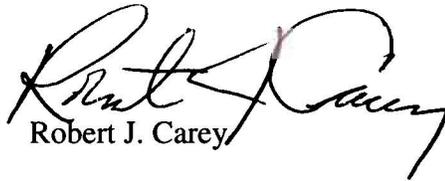
A disposition of “Reviewed/Rejected” may result in a broad range of consequences, including but not limited to:

- The system or initiative’s funding being put on hold;
- The system or initiative being only allowed to obligate/expend funding to resolve issues which resulted in the “Reviewed/Rejected” disposition; or
- The system or initiative continuing to obligate/expend planned funding, but being required to resolve the issues which resulted in the “Reviewed/Rejected” disposition by a specific date or event.

Upon invoking a disposition of “Reviewed/Rejected” for a particular system or initiative, DDCIOs shall coordinate with DON CIO, Deputy Assistant Secretary of the Navy (Budget), and the applicable Echelon II/Major Command Information Officer (IO) and Comptroller, in order to implement the appropriate consequence of the DDCIO decision.

Updates to the DON variant of the DoD Information Technology Portfolio Repository (DITPR-DON) will be made to support functionality necessary to allow for a disposition of “Reviewed/Rejected” for Non-Tier Reviews. This functionality shall also support the ability to identify the directed consequence of invoking the “Reviewed/Rejected” disposition.

The DON CIO point of contact for Non-Tier Reviews is Ms. Molly Johnson, molly.johnson@navy.mil, (703) 601-0163.



Robert J. Carey

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CNO:
N00N - NAVAL NUCLEAR PROPULSION FAM
N091 - SCIENTIFIC & TECHNOLOGY FAM; TEST & EVALUATION FAMS
N093 - MEDICAL FAM
N1 – MILITARY PERSONNEL FAM; TRAINING & EDUCATION FAM
N4 - LOGISTICS & READINESS
N2/N6 – COMMAND, CONTROL & COMMUNICATIONS FAM; INFORMATION OPERATIONS FAM; WEAPONS PLANNING & CONTROL FAM; ENTERPRISE SERVICES FAM; INTELLIGENCE FAM; METEOROLOGY & OCEANOGRAPHY FAM; PRECISE TIME & ASTRONOMY FAM
N8 - RESOURCES, REQUIREMENTS & ASSESSMENTS FAM

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DC, I&L (LPC) – MEDICAL FAM